

Executive Summary

ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Middletown conducts a comprehensive priorities and strategies outreach and planning process every 5 years in correlation with federal regulations governing the disbursement of Community Development Block Grant (CDBG) Funds under the authority of the Department of Housing and Urban Development (HUD).

Approximately \$400,000 in Block Grant Funding is provided annually to the City of Middletown from the US Department of Housing and Urban Development. CDBG funds are a flexible source of funding intended to benefit the lives of Low and Moderate Income (LMI) residents by improving slum and blight through the implementation of economic development projects, public service programs, housing rehabilitation, homeownership assistance and encouraging new development and improvement of certain types of public facilities.

An additional document entitled the official 5 Year Strategic Plan will be presented to the City of Middletown Common Council for approval and submitted to HUD. The 5 Year Strategic Plan is available for public review at the Middletown Department of Planning, Conservation and Development, at the Russell Library and at <http://www.middletownplanning.com>.

The City of Middletown also conducted a Resident Survey mailed to approximately 700 homes. Method of response choices included pre-paid return postage or internet. The survey was an opportunity for residents to provide input by commenting how the City should spend an anticipated \$2 million in federal Community Development Block Grant funds expected to be received over the next five years. The comprehensive survey presented the following for consideration: Community Facilities, Community Services, Infrastructure, Neighborhood Services, Special Needs Services, Businesses & Jobs and Housing. Additional survey questions focused on housing expenses.

Survey results and results from Round Table discussions with residents and community stakeholders were forwarded to the City of Middletown Citizens' Advisory Committee.



Map of Survey Area

2. Summary of the objectives and outcomes identified in the Plan Needs Assessment Overview

The following objectives are the framework for the *5 Year Strategic Plan*:

5 Year Plan

Priority #1- Target Investment for Healthy Neighborhoods

Strategy #1.1- Correcting structural problems that have caused a weak housing market in the Downtown and surrounding neighborhoods through targeted investment and proper project design.

Strategy #1.2- Support programs that address and reduce both crime and quality of life issues in neighborhoods.

Strategy #1.3- Support the creation and improvement of neighborhood parks, community gardens, sidewalks, bike paths, public spaces and other public amenities in low and moderate income neighborhoods.

Priority #2- Supporting the Needs of All Middletown Residents

Strategy #2.1- Develop an after school scholarship program to assist low and moderate income households that send their children to approved after school programs.

Strategy #2.2- Support the Middlesex County 10-Year Plan To End Homelessness.

Strategy #2.3- Eliminate architectural barriers that prevent seniors and the disabled from benefiting from public facilities.

Strategy #2.4- Support Reducing Hunger Grants.

Priority #3- Economic Development Grant Program for Jobs

Strategy #3.1- Support Middletown Job Launch Grants.

Strategy #3.2- Multi-year grants to provide Career Services Job Placement and Job Coaching Programs to Middletown residents.

3. Evaluation of past performance

Community Development staff periodically meets with the City of Middletown Citizens' Advisory Committee to evaluate performance, discuss strategies, objectives and goals. Funding considerations primarily include proposed value and benefit as it relates to strategic objectives.

4. Summary of citizen participation process and consultation process

Monthly Citizens' Advisory Committee meetings, published legal notices, a residential survey and website postings provided an opportunity for citizen participation in the consultation process.

5. Summary of public comments

Comments were received on the following topics; Senior Center, Youth Center, Child Care Center, Park & Recreation, Health Care, Community Centers, Fire Stations & Equipment, and Libraries, Senior Activities, Youth Activities, Child Care Services, Transportation Services, Anti-Crime Programs, Health Services, Mental Health Services, and Legal Services, Drainage Improvements, Water/Sewer Improvement, Street Improvements, Street Lighting, and Sidewalk Improvements, Centers/Services for disabled, Accessibility Improvements, Domestic Violence Services, Substance Abuse Services, Homeless Shelters/Services, HIV/AIDS centers & services, and Neglected/Abused Children Centers & Services., Residential Rehabilitation, Homeownership Assistance, Housing for Disabled, Senior Housing, Single Family Housing, Large Family Housing, Affordable Rental Housing, Fair Housing, Lead-based paint test/abatement, Residential Historic Preservation, Non Residential Historic Preservation, and Energy Efficiency Improvements, Tree Planting, Trash & Debris Removal, Graffiti Removal, Code Enforcement, Parking Facilities, Start-up Business Assistance, Small Business Loans, Job Creation/Retention, Employment Training, Commercial/Industrial Rehabilitation, Façade Improvements, and Business Mentoring, Household expenses, perceptions of household appeal to guests, Trash Pick-up, Noise, Graffiti, Parks & Rec, Poor Property Maintenance, Drug Related Crime, Property Crime, Violent Crime, Loitering, Parking, Traffic/Speeding, Sidewalk Maintenance, and Road Maintenance.

6. Summary of comments or views not accepted and the reasons for not accepting them

This item is not applicable.

7. Summary

Unemployment in Middletown was somewhat elevated at 6.7% in January 2015 and slightly less than the state average of 6.8 % and the national average of 5.7%. The HUD-adjusted Area Median Family Income is \$59,600. The City can be considered to be in stable condition as a financial whole, however, there is a disparity between the majority of Middletown's residents, and most notably, a gap has widened for the poor.

The Process

PR-05 Lead & Responsible Agencies 24 CFR 91.200(b)

1. Describe agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	MIDDLETOWN	
CDBG Administrator	MIDDLETOWN	Planning, Conservation and Development
HOPWA Administrator		
HOME Administrator		
HOPWA-C Administrator		

Table 1 – Responsible Agencies

Narrative

Consolidated Plan Public Contact Information

Michiel Wackers, Director, Planning, Conservation & Development, City of Middletown

Bruce Driska, Deputy Director, Planning, Conservation & Development, City of Middletown

PR-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

During the preparation of this plan, the City of Middletown consulted with public and private agencies, the Continuum(s) of Care, public and private agencies that address housing, health, social service, victim services, employment, or education needs of low-income individuals and families; homeless individuals and families, including homeless veterans; youth; business and civic leaders and the City of Middletown Health Department for data related to lead-based paint hazards and poisonings including data on the addresses of housing units in which children have been identified as lead poisoned.

Additionally, the Citizens' Advisory Committee conducted several public hearings and met on numerous occasions to review, discuss and select project applications which that have been identified to meet strategic goals and objectives for effective housing and community development.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

Funding of public and assisted housing providers as well as private and governmental health, mental health and service agencies results in a direct benefit to individuals in need of such assistance.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

The 10 Year Plan to End Homelessness, and a partnership between the City of Middletown, Continuum of Care and the Balance of State assists in identifying funding for various types of needed housing.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS

The City of Middletown confers with the Continuum(s) of Care in determining how to allocate funds, develop performance standards, funding, policies and procedures for the administration of HMIS.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	NORTH END ACTION TEAM
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Education Service-Fair Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Families with children Non-Homeless Special Needs Economic Development Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Middletown works with agencies to accurately identify funding for individuals with the joint expectation of matching service with need.
2	Agency/Group/Organization	LIBERTY BANK
	Agency/Group/Organization Type	Business Leaders Private Sector Banking / Financing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Families with children Economic Development Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Middletown works with agencies to accurately identify funding for individuals with the joint expectation of matching service with need.

3	Agency/Group/Organization	Gilead Community Services
	Agency/Group/Organization Type	Housing Services-Persons with Disabilities Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Health Agency Publicly Funded Institution/System of Care
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Middletown works with agencies to accurately identify funding for individuals with the joint expectation of matching service with need.

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Balance of State	

Table 3 – Other local / regional / federal planning efforts

Describe cooperation and coordination with other public entities, including the State and any adjacent units of general local government, in the implementation of the Consolidated Plan (91.215(l))

All local, state and federal agencies are utilized as may be appropriate.

Narrative (optional):

PR-15 Citizen Participation

- 1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting**

In early 2015 a resident survey was mailed to more than 700 targeted households.

Categories of the survey included Community Facilities, Infrastructure, Special Needs/Services, Housing, Community Services, Neighborhood Services and Businesses & Jobs. Goal setting was based upon received ranked responses which on a 1(lowest) to 4 (highest) scale.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	All of the above	All of the above	Response by email, mail and telephone resulted in data summation included within this report. Attendance was limited to members of the City of Middletown Citizens Advisory Committee.	Comments were received on the following topics; Senior Center, Youth Center, Child Care Center, Park & Recreation, Health Care, Community Centers, Fire Stations & Equipment, and Libraries, Senior Activities, Youth Activities, Child Care Services, Transportation Services, Anti-Crime Programs, Health Services, Mental Health Services, and Legal Services, Drainage Improvements, Water/Sewer Improvement, Street Improvements, Street Lighting, and Sidewalk Improvements, Centers/Services for disabled, Accessibility Improvements, Domestic Violence Services, Substance Abuse Services, Homeless Shelters/Services, HIV/AIDS centers & services, and Neglected/Abused	13	
OMB Control No: 2506-0117 (exp. 06/30/2018)	Consolidated Plan	MIDDLETOWN				

Table 4 – Citizen Participation Outreach

Consolidated Plan
OMB Control No: 2506-0117 (exp. 06/30/2018)

MIDDLETOWN

Needs Assessment

NA-05 Overview

Needs Assessment Overview

Middletown continues to experience housing problems, including most significantly with affordability of rental housing, particularly very high rent burdens to households with incomes below the Housing Urban Development Area Median Family Income HAMFI. A detailed needs assessment follows this overview.

NA-10 Housing Needs Assessment - 24 CFR 91.205 (a,b,c)

Summary of Housing Needs

In 2011 the population in Middletown was reported to be 47,510 representing a 10% increase from the 2000 figure of 43,167. The number of households also marked an increased up 7% from 18,542 to 19,875 while median income incurred a 27 % gain from \$47,162 to \$59,966. At a glance, the information could be misleading. Although housing was available some was inadequate. Sixty renter households at 30 % or less of the **Area Median Income (AMI)** lived in conditions lacking complete bathroom or kitchen facilities with a total of 115 rental units falling into that category creating health and overcrowding concerns. 1,465 households reported as having a housing cost greater than 50% of income. The total number of housing units rose from 19,618 to 21,300 by mid May 2015.

The Middletown Housing Authority (MHA) is the local Public Housing Authority that manages various federal, state and Section 8 funded programs, projects and vouchers. The major developments of the MHA consist of Sbona Tower, Maplewood Terrace and Traverse Square, totaling 234 units. All three of the existing public housing developments in the City receive HUD Comprehensive Grant Program funding which cover all scheduled capital improvements. The Long River Village site will receive no funding because the development was demolished under HOPE VI and has twice been refused funds for reconstruction. Its residents were relocated using Section 8 vouchers. While none of the developments receive Public Housing Agency Plan funds, the Middletown Housing Authority makes use of federal operating subsidies, Section 8 Administrative funding, Drug Elimination Grant funds and an Economic Development Grant.

Demographics	Base Year: 2000	Most Recent Year: 2011	% Change
Population	43,167	47,510	10%
Households	18,542	19,875	7%
Median Income	\$47,162.00	\$59,966.00	27%

Table 5 - Housing Needs Assessment Demographics

Data Source: 2000 Census (Base Year), 2007-2011 ACS (Most Recent Year)

Number of Households Table

	0-30% HAMFI	>30-50% HAMFI	>50-80% HAMFI	>80-100% HAMFI	>100% HAMFI
Total Households	3,100	2,385	2,250	2,405	9,735
Small Family Households	755	665	685	740	5,350
Large Family Households	105	45	105	80	500
Household contains at least one person 62-74 years of age	485	420	430	555	1,365
Household contains at least one person age 75 or older	690	545	355	170	315
Households with one or more children 6 years old or younger	385	310	255	190	975

Table 6 - Total Households Table

Data Source: 2007-2011 CHAS

Housing Needs Summary Tables

1. Housing Problems (Households with one of the listed needs)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Substandard Housing - Lacking complete plumbing or kitchen facilities	60	45	10	0	115	0	0	10	0	10
Severely Overcrowded - With >1.51 people per room (and complete kitchen and plumbing)	0	135	35	20	190	0	0	0	0	0
Overcrowded - With 1.01-1.5 people per room (and none of the above problems)	95	0	10	0	105	0	0	0	30	30
Housing cost burden greater than 50% of income (and none of the above problems)	1,465	265	0	0	1,730	480	400	300	110	1,290
Housing cost burden greater than 30% of income (and none of the above problems)	370	725	295	245	1,635	35	290	330	550	1,205

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
Zero/negative Income (and none of the above problems)	80	0	0	0	80	30	0	0	0	30

Table 7 – Housing Problems Table

Data 2007-2011 CHAS
Source:

2. Housing Problems 2 (Households with one or more Severe Housing Problems: Lacks kitchen or complete plumbing, severe overcrowding, severe cost burden)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Having 1 or more of four housing problems	1,620	440	60	20	2,140	480	400	310	140	1,330
Having none of four housing problems	845	995	1,085	1,055	3,980	45	550	795	1,180	2,570
Household has negative income, but none of the other housing problems	80	0	0	0	80	30	0	0	0	30

Table 8 – Housing Problems 2

Data 2007-2011 CHAS
Source:

3. Cost Burden > 30%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	500	375	120	995	20	165	225	410
Large Related	90	10	0	100	0	25	55	80
Elderly	600	240	30	870	365	370	215	950
Other	730	520	145	1,395	130	130	140	400

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
Total need by income	1,920	1,145	295	3,360	515	690	635	1,840

Table 9 – Cost Burden > 30%

Data 2007-2011 CHAS
Source:

4. Cost Burden > 50%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	400	180	0	580	20	140	95	255
Large Related	90	0	0	90	0	25	55	80
Elderly	415	60	0	475	330	145	60	535
Other	630	120	0	750	130	85	95	310
Total need by income	1,535	360	0	1,895	480	395	305	1,180

Table 10 – Cost Burden > 50%

Data 2007-2011 CHAS
Source:

5. Crowding (More than one person per room)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Single family households	95	90	10	0	195	0	0	0	15	15
Multiple, unrelated family households	0	0	0	0	0	0	0	0	15	15
Other, non-family households	0	45	35	20	100	0	0	0	0	0
Total need by income	95	135	45	20	295	0	0	0	30	30

Table 11 – Crowding Information – 1/2

Data 2007-2011 CHAS
Source:

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
Households with Children Present	0	0	0	0	0	0	0	0

Table 12 – Crowding Information – 2/2

Data Source
Comments:

Describe the number and type of single person households in need of housing assistance.

Data not available.

Estimate the number and type of families in need of housing assistance who are disabled or victims of domestic violence, dating violence, sexual assault and stalking.

Data not available.

What are the most common housing problems?

Due to the large LMI composition of the population a common housing issue is expense related to rents. While Middletown is fortunate to maintain a large inventory of affordable housing (approximately 20%) nearly 1/3 of the affordable housing is located within the City's North End. The North End has the lowest percentage of owner occupied housing

Are any populations/household types more affected than others by these problems?

The Homeless population frequently encounters loss-of-housing due to several factors;

1. Housing for the Homeless is often "temporary" due to unemployment, chronic illness related issues and domestic violence
2. The Homeless population are frequently part of rental evictions

Describe the characteristics and needs of Low-income individuals and families with children (especially extremely low-income) who are currently housed but are at imminent risk of either residing in shelters or becoming unsheltered 91.205(c)/91.305(c)). Also discuss the needs of formerly homeless families and individuals who are receiving rapid re-housing assistance and are nearing the termination of that assistance

Using the HUD sponsored January 28, 2014, Middlesex County annual Point in Time (PIT) survey of homeless individuals and families residing in Middlesex County, the following information assists in the understanding of how services in Middletown are utilized by those identified as in need. Total: 1415

individuals (819 Households) reported being literally homeless on the Point in Time. Of this total, 1309 individuals (or 92.5%), including 524 were children, were sheltered in Emergency Shelter, Transitional Housing, Hotel Placement or TRA. One hundred six (106) people (103 adults and 3 children) were unsheltered. There was a decrease in the number of people staying in Transitional Housing and who were Unsheltered on the night of the count. However, the survey indicates a 103% increase in Emergency Shelter and is misleading, as the County's decision to count TRA individuals as "literally homeless". Compared to the 2014 count (1415 persons), which included TRA recipients, the total homeless population in Middlesex County remained relatively flat over the last 2 years.

If a jurisdiction provides estimates of the at-risk population(s), it should also include a description of the operational definition of the at-risk group and the methodology used to generate the estimates:

Specify particular housing characteristics that have been linked with instability and an increased risk of homelessness

Households lacking plumbing and/or kitchen facilities are linked with an increased risk of homelessness due to instability

Discussion

There is a need to provide job training for the homeless population as evidenced that more than 75% of adults were either employed part-time or unemployed. It is evident that job training is required to increase their employability or assistance in securing employment. Substance abuse treatment among the homeless indicates an ongoing need for treatment. Case management would be appropriate for homeless individuals receiving substance abuse treatment. Mental health treatment is required for the portion of the homeless population known to have or recently exhibiting symptoms of mental illness. Life skills training, including resume writing/job interviewing techniques should be considered necessary for all homeless adults.

NA-15 Disproportionately Greater Need: Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction

The CHAS indicates there is a disproportionate need for affordable housing among all minority small family renter-households and Hispanic large family renter-households. A disproportionate need has also been identified for black, small and elderly Hispanic owner-occupied households. The conclusion can be drawn that many of the minority small family households experiencing some of the greatest difficulty in finding affordable housing are single-parent family households. Based upon 1990 census data, only 28.6% of single parent family households in Middletown are black, but these total 23.9% of all Middletown’s black households. Only 5.9% of single parent family households in the City are categorized as Asian/Other, but these make up 22.1% of local Asian/Other households. This compares to 65.5% of all of Middletown’s single parent family households being white, but these households represent only 5.4% of total white households. It is the small family households that require the most comprehensive of services.

0%-30% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	2,920	545	80
White	2,240	440	70
Black / African American	415	105	10
Asian	35	0	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	95	0	0

Table 13 - Disproportionally Greater Need 0 - 30% AMI

Data Source: 2007-2011 CHAS

*The four housing problems are:

1. Lacks complete kitchen facilities,
2. Lacks complete plumbing facilities,
3. More than one person per room,
4. Cost Burden greater than 30%

30%-50% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,630	560	0
White	1,300	450	0
Black / African American	215	40	0
Asian	14	15	0
American Indian, Alaska Native	0	35	0
Pacific Islander	0	0	0
Hispanic	90	25	0

Table 14 - Disproportionally Greater Need 30 - 50% AMI

Data Source: 2007-2011 CHAS

*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

50%-80% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,195	1,840	0
White	1,005	1,390	0
Black / African American	40	275	0
Asian	70	30	0
American Indian, Alaska Native	0	15	0
Pacific Islander	0	0	0
Hispanic	65	105	0

Table 15 - Disproportionally Greater Need 50 - 80% AMI

Data Source: 2007-2011 CHAS

*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

80%-100% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	950	1,115	0
White	735	810	0
Black / African American	180	200	0
Asian	25	50	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	0	14	0

Table 16 - Disproportionally Greater Need 80 - 100% AMI

Data Source: 2007-2011 CHAS

*The four housing problems are:

1. Lacks complete kitchen facilities,
2. Lacks complete plumbing facilities,
3. More than one person per room,
4. Cost Burden greater than 30%

Discussion

The CHAS indicates there is a disproportionate need for affordable housing among all minority small family renter-households and Hispanic large family renter-households. A disproportionate need has also been identified for black, small and elderly Hispanic owner-occupied households.

**NA-20 Disproportionately Greater Need: Severe Housing Problems – 91.205
(b)(2)**

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction

The CHAS indicates there is a disproportionate need for affordable housing among all minority small family renter-households and Hispanic large family renter-households. A disproportionate need has also been identified for black, small and elderly Hispanic owner-occupied households. The conclusion can be drawn that many of the minority small family households experiencing some of the greatest difficulty in finding affordable housing are single-parent family households. Based upon 1990 census data, only 28.6% of single parent family households in Middletown are black, but these total 23.9% of all Middletown’s black households. Only 5.9% of single parent family households in the City are categorized as Asian/Other, but these make up 22.1% of local Asian/Other households. This compares to 65.5% of all of Middletown’s single parent family households being white, but these households represent only 5.4% of total white households. It is the small family households that require the most comprehensive of services.

0%-30% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	2,330	1,135	80
White	1,810	875	70
Black / African American	305	220	10
Asian	15	20	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	80	15	0

Table 17 – Severe Housing Problems 0 - 30% AMI

Data Source: 2007-2011 CHAS

*The four severe housing problems are:

1. Lacks complete kitchen facilities,
2. Lacks complete plumbing facilities,
3. More than 1.5 persons per room,
4. Cost Burden over 50%

30%-50% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	600	1,600	0
White	490	1,265	0
Black / African American	80	175	0
Asian	0	30	0
American Indian, Alaska Native	0	35	0
Pacific Islander	0	0	0
Hispanic	35	80	0

Table 18 – Severe Housing Problems 30 - 50% AMI

Data Source: 2007-2011 CHAS

*The four severe housing problems are:

1. Lacks complete kitchen facilities,
2. Lacks complete plumbing facilities,
3. More than 1.5 persons per room,
4. Cost Burden over 50%

50%-80% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	255	2,775	0
White	220	2,170	0
Black / African American	10	305	0
Asian	0	100	0
American Indian, Alaska Native	0	15	0
Pacific Islander	0	0	0
Hispanic	20	145	0

Table 19 – Severe Housing Problems 50 - 80% AMI

Data Source: 2007-2011 CHAS

*The four severe housing problems are:

1. Lacks complete kitchen facilities,
2. Lacks complete plumbing facilities,
3. More than 1.5 persons per room,
4. Cost Burden over 50%

80%-100% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	205	1,865	0
White	205	1,340	0
Black / African American	0	375	0
Asian	0	75	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	0	14	0

Table 20 – Severe Housing Problems 80 - 100% AMI

Data Source: 2007-2011 CHAS

*The four severe housing problems are:

1. Lacks complete kitchen facilities,
2. Lacks complete plumbing facilities,
3. More than 1.5 persons per room,
4. Cost Burden over 50%

Discussion

Several programs are available that provide rental assistance or a form of subsidy and significant numbers of extremely low-income renters continue to pay in excess of 50% of their income on housing. The current and anticipated future changes in Federal legislation for those residents who receive a subsidy will increase this issue and place the extremely low-income renter at risk of homelessness. With less disposable income, the need for supportive services will rise, offsetting any Federal taxpayer savings and adding to loss of self-esteem and independence. As cities continue to receive fewer Federal dollars to support housing and service programs the condition of the housing stock will deteriorate and services will taper.

NA-25 Disproportionately Greater Need: Housing Cost Burdens – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction:

The CHAS indicates there is a disproportionate need for affordable housing among all minority small family renter-households and Hispanic large family renter-households. A disproportionate need has also been identified for black, small and elderly Hispanic owner-occupied households. The conclusion can be drawn that many of the minority small family households experiencing some of the greatest difficulty in finding affordable housing are single-parent family households. Based upon 1990 census data, only 28.6% of single parent family households in Middletown are black, but these total 23.9% of all Middletown's black households. Only 5.9% of single parent family households in the City are categorized as Asian/Other, but these make up 22.1% of local Asian/Other households. This compares to 65.5% of all of Middletown's single parent family households being white, but these households represent only 5.4% of total white households. It is the small family households that continues to require the most comprehensive of all services offered.

Housing Cost Burden

Housing Cost Burden	<=30%	30-50%	>50%	No / negative income (not computed)
Jurisdiction as a whole	12,530	4,200	3,245	80
White	10,080	3,320	2,655	70
Black / African American	1,290	525	395	10
Asian	435	155	15	0
American Indian, Alaska Native	45	0	0	0
Pacific Islander	0	0	0	0
Hispanic	515	145	55	0

Table 21 – Greater Need: Housing Cost Burdens AMI

Data Source: 2007-2011 CHAS

Discussion:

^ The large and aging affordable housing inventory located predominately within the North End of the City continues to be receive CDBG funding for structural and safety upgrades. The City of Middletown continues to monitor ^ At Risk ^ properties and quality of life issues with its Code Enforcement Task Force. ^ These interventions have assisted in making the North End a more attractive area in which to live. In addition to its central location to the city center which is ideal for walking, the North End benefits

from a centralized mass transportation system enabling residents to easily connect with adjacent towns or interstate bus services.

NA-30 Disproportionately Greater Need: Discussion – 91.205(b)(2)

Are there any Income categories in which a racial or ethnic group has disproportionately greater need than the needs of that income category as a whole?

The CHAS indicates there is a disproportionate need for affordable housing among all minority small family renter-households and Hispanic large family renter-households. A disproportionate need has also been identified for black, small and elderly Hispanic owner-occupied households. The conclusion can be drawn that many of the minority small family households experiencing some of the greatest difficulty in finding affordable housing are single-parent family households. Based upon 1990 census data, only 28.6% of single parent family households in Middletown are black, but these total 23.9% of all Middletown's black households. Only 5.9% of single parent family households in the City are categorized as Asian/Other, but these make up 22.1% of local Asian/Other households. This compares to 65.5% of all of Middletown's single parent family households being white, but these households represent only 5.4% of total white households.

If they have needs not identified above, what are those needs?

Are any of those racial or ethnic groups located in specific areas or neighborhoods in your community?

The identified groups are located within the North End which has the highest concentration of affordable housing stock in the City.

NA-35 Public Housing – 91.205(b)

Introduction

The Middletown Housing Authority (MHA) is the local Public Housing Authority that manages various federal, state and Section 8 funded programs, projects and vouchers. The major developments of the MHA consist of Sbona Tower, Maplewood Terrace and Traverse Square, totaling 234 units. All three of the existing public housing developments in the City receive HUD Comprehensive Grant Program funding which cover all scheduled capital improvements. The Long River Village site will receive no funding because the development was demolished under HOPE VI and has twice been refused funds for reconstruction. Its residents were relocated using Section 8 vouchers. While none of the developments receive Public Housing Agency Plan funds, the Middletown Housing Authority makes use of federal operating subsidies, Section 8 Administrative funding, Drug Elimination Grant funds and an Economic Development Grant.

Totals in Use

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Total Vouchers	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers in use	0	0	246	687	8	679	0	0	0

Table 22 - Public Housing by Program Type
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition

Data Source: PIC (PIH Information Center)

Characteristics of Residents

	Program Type							
	Certificate	Mod-Rehab	Public Housing	Vouchers			Special Purpose Voucher Family Unification Program	
				Total	Project - based	Tenant - based		
Average Annual Income	0	0	14,912	14,120	11,840	14,147	0	0
Average length of stay	0	0	6	5	0	5	0	0
Average Household size	0	0	2	2	2	2	0	0
# Homeless at admission	0	0	0	1	0	1	0	0
# of Elderly Program Participants (>62)	0	0	83	77	1	76	0	0
# of Disabled Families	0	0	60	241	2	239	0	0
# of Families requesting accessibility features	0	0	246	687	8	679	0	0
# of HIV/AIDS program participants	0	0	0	0	0	0	0	0
# of DV victims	0	0	0	0	0	0	0	0

Table 23 – Characteristics of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Race of Residents

Race	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers			Special Purpose Voucher		
				Total	Project - based	Tenant - based	Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
White	0	0	159	456	6	450	0	0	0
Black/African American	0	0	84	225	2	223	0	0	0
Asian	0	0	3	3	0	3	0	0	0
American Indian/Alaska Native	0	0	0	3	0	3	0	0	0
Pacific Islander	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0

*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition

Data Source: PIC (PIH Information Center)

Ethnicity of Residents

Ethnicity	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers			Special Purpose Voucher		
				Total	Project - based	Tenant - based	Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
Hispanic	0	0	43	126	0	126	0	0	0
Not Hispanic	0	0	203	561	8	553	0	0	0

*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition

Data Source: PIC (PIH Information Center)

Section 504 Needs Assessment: Describe the needs of public housing tenants and applicants on the waiting list for accessible units:

Affordable rental housing is not easily attainable in Middletown as rental pricing within the City have nearly doubled in the past 20 years. However, improvements in housing quality have generally been accompanied by an increases in economic wellbeing and decreases in average household size. Residents (families, seniors or disabled) of Middletown's public housing pay rent based on their income. The rent contribution of the tenant is called the Total Tenant Payment (TTP). The TTP is generally 30% of a residents income with a \$25 (National minimum) to \$50 (some PHA's) minimum rent. The average tenant rent contribution for Middletown Housing Authority's public housing developments is \$409.

Most immediate needs of residents of Public Housing and Housing Choice voucher holders

The HUD Resident Characteristics Report provides updated Head of Household race and ethnicity data at the housing authority and property level. Across all properties in the Middletown Housing Authority portfolio, 32% of households identified as Black, 64% identified as White, and 19% identified as Hispanic or Latino. The most immediate needs of public housing and Housing Choice residents has been the ability to meet expenses.

How do these needs compare to the housing needs of the population at large

It is presumed that families deemed qualified for section-8 rental assistance mirror the Middletown Housing Authority portfolio statistics.

Discussion

There has been a collobrative effort between agencies within Middletown of setting goals of providing decent housing and a suitable living environment for all Middletown residents, including those who are homeless, at-risk, low-income or have other special needs. Efforts must continue to accurately identify attributing factors as well as provide solutions to the fact that minority small family single-parent family households experience some of the greatest difficulty in finding affordable housing.

NA-40 Homeless Needs Assessment – 91.205(c)

Introduction:

The U.S. Department of Housing and Urban Development (HUD) requires applicants for federal homeless assistance grants to count and report the number of people experiencing homelessness in their communities on one night during the last week of January. The Connecticut Coalition to End Homelessness (CEH) has led communities across the state in an annual homelessness count (CT PIT) since 2007, mobilizing non-profits, local and state government agencies, and hundreds of concerned citizens from every community to gather critical data in order to inform efforts to prevent and end homelessness. The information reported is generated from a January 2014 PIT survey for Middlesex County. Middletown, the only city in the county, is the largest municipality with the greatest number of homeless shelters, assistance providers to the homeless population and has an extensive local and regional transit system. Accordingly, it is presumed to receive more homeless individuals from towns within the county. This year, Middlesex saw the largest decrease in single adult homelessness since 2009. CT PIT 2014 recorded 39% fewer single adults than in 2009, and 34% fewer than in 2013.

Homeless Needs Assessment

Population	Estimate the # of persons experiencing homelessness on a given night		Estimate the # experiencing homelessness each year	Estimate the # becoming homeless each year	Estimate the # exiting homelessness each year	Estimate the # of days persons experience homelessness
	Sheltered	Unsheltered				
Persons in Households with Adult(s) and Child(ren)	0	23	0	0	0	0
Persons in Households with Only Children	0	33	0	0	0	0

Population	Estimate the # of persons experiencing homelessness on a given night		Estimate the # experiencing homelessness each year	Estimate the # becoming homeless each year	Estimate the # exiting homelessness each year	Estimate the # of days persons experience homelessness
	Sheltered	Unsheltered				
Persons in Households with Only Adults	0	73	0	0	0	0
Chronically Homeless Individuals	0	0	0	0	0	0
Chronically Homeless Families	0	0	0	0	0	0
Veterans	0	0	0	0	0	0
Unaccompanied Child	0	0	0	0	0	0
Persons with HIV	0	0	0	0	0	0

Table 26 - Homeless Needs Assessment

Data Source Comments:

Indicate if the homeless population is: Has No Rural Homeless

If data is not available for the categories "number of persons becoming and exiting homelessness each year," and "number of days that persons experience homelessness," describe these categories for each homeless population type (including chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth):

n/a

Nature and Extent of Homelessness: (Optional)

Race:	Sheltered:	Unsheltered (optional)
White	0	0
Black or African American	0	0
Asian	0	0
American Indian or Alaska Native	0	0
Pacific Islander	0	0
Ethnicity:	Sheltered:	Unsheltered (optional)
Hispanic	0	0
Not Hispanic	0	0

Data Source
Comments:

Estimate the number and type of families in need of housing assistance for families with children and the families of veterans.

The total surveyed population of 129, excluding 73 single adults are families with children in need of housing assistance.

Describe the Nature and Extent of Homelessness by Racial and Ethnic Group.

The POINT-IN-TIME count did not identify the racial and ethnic characteristics of the homeless surveyed.

Describe the Nature and Extent of Unsheltered and Sheltered Homelessness.

Unsheltered: 49 adults, 0 children

Sheltered: 65 adults, 21 children

Discussion:

Accurate needs assessments of homeless must address the following subpopulations: single adults, families (adults and children), chronically homeless individuals, chronically homeless families, chronically homeless individual veterans, chronically homeless veteran’s families, adults with a serious mental illness, adults with a substance abuse disorder, adults with HIV/AIDS, and adult survivor of domestic violence.

NA-45 Non-Homeless Special Needs Assessment - 91.205 (b,d)

Introduction:

Elderly

The growth in elderly households accounted for roughly half of the recent household increase in Middletown in households headed by persons 65 years of age and over. A previous 5-Year Consolidated Plan noted that “as the elderly population continues to grow, there will be a need for increased attention to the special housing circumstances and needs of the elderly.” Currently, there is ample housing for the elderly. The Middletown Housing Authority operates the Sbona Towers development as an elderly-only public housing units and also administers the 40 unit Marino Manor. Together with eleven other publicly assisted housing developments built or rehabilitated for the elderly in Middletown, as well as HUD Section 8 vouchers, a total of 1,409 units are available for non-institutionalized persons 65 years of age and over. Of these, 488 units are provided in congregate care facilities for assisted living. For elderly persons not living in households, 548 beds are available in the City’s five nursing home institutions. Even the estimated increase in the frail elderly population is not expected to strain the elderly housing market. In the future, the true demand will be for units that can be accessed by the disabled.

Mentally Ill

Middletown thus far has not had a severe problem housing and providing supportive services for the mentally ill. The City is home to a number of specialized residential services for this population including halfway houses, group homes and shelters, for which the demand outweighs the supply. The Connecticut Valley Hospital (CVH) is another provider of inpatient services.

Describe the characteristics of special needs populations in your community:

What are the housing and supportive service needs of these populations and how are these needs determined?

In addition to stabilization of housing costs, elderly housing requires specific accommodations for clients such as building security and maintenance, elevator service or other provisions for those with

ambulation issues. Housing for the mentally ill has been typically designed to operate in single family or multi-family units in a supervised group home setting. Use of homes already in the housing inventory presents an abundance of choices for the service provider, with minor readapted use improvements requires prior to occupancy.

Discuss the size and characteristics of the population with HIV/AIDS and their families within the Eligible Metropolitan Statistical Area:

The exact number of households whose heads have HIV/AIDS is unknown, however additional housing needs for these individuals and families are supplemented by Ryan White housing grants.

Discussion:

The City and its partners will continue to emphasize the need and will work to that end to provide safe and affordable housing to all in need. The success in meeting housing goals is evaluated for effectiveness by collaborative partners comprised of citizens, agencies/service providers/the City of Middletown, the State of Connecticut and HUD.

NA-50 Non-Housing Community Development Needs – 91.215 (f)

Describe the jurisdiction's need for Public Facilities:

The City of Middletown has awarded project funding to the following public facilities:

<u>Public Facility</u>	<u>Use</u>
<ul style="list-style-type: none">• Remington Rand Building	Creation of Jobs
<ul style="list-style-type: none">• Middletown Public Schools	ADA related Improvemnts
<ul style="list-style-type: none">• Russell Library	Employment assistance (interview
techniques and resume writing)	

How were these needs determined?

Proposals for jobs were reviewed and determined to be eligible under program guidelines. Also, the overall project impact, prior CDBG experience, view of organization (new or expanded) and rating of a business plan/financial strategy were implemented.

Describe the jurisdiction's need for Public Improvements:

Public Improvements to the Middletown Public School Administration Building provided much needed ADA access related upgrades.

How were these needs determined?

Public Improvement needs were identified as being needed by implementing internal compliance reviews as well as at the urging of members of the public using the building.

Describe the jurisdiction's need for Public Services:

The need for Public Service (employment acquisition) was identified based upon the number of unemployed and underemployed individuals within the jurisdiction who could benefit from the offered service.

How were these needs determined?

The need for Public Service (employment acquisition) was determined by the number of unemployed and underemployed individuals within the jurisdiction who could benefit from the offered service.

Housing Market Analysis

MA-05 Overview

Housing Market Analysis Overview:

An affordable housing supply that can meet the demand for housing will result in healthy growth and vibrant economic development. Several key factors identified to improve housing are:

1. Identify means to increase incomes to meet rising rent costs
2. Add new construction to inventory
3. Rehab housing to create decent housing
4. Create rental housing desired by young professionals

Efforts and advancements have been made to address the factors mentioned above.

1. Two successful job acquisition programs aimed at raising income levels continue to provide invaluable training to individuals
2. An approved new construction project of 96 units targeting young professionals
3. Housing rehab continues to be a focus and shall continue to receive federal funds
4. In addition to the previously mentioned 93 units of approved new construction, City Planning has had several dialogues with developed for an additional 200 downtown units

MA-10 Number of Housing Units – 91.210(a)&(b)(2)

Introduction

The total number of Housing Unit totals 21,330 and is comprised of 10,360 (49%) detached single units, 956 (4%) attached units, 3,267 (15%) structures containing 2-4 units, 3,395 (16%) structures containing 5-19 units, 3,319 (16%) structures containing 20 or more units and 33 (0%) units described as mobile homes, boats, RV's or vans. More than a third (37%) of homeowners pay more than 30% of their incomes for housing costs.

All residential properties by number of units

Property Type	Number	%
1-unit detached structure	10,360	49%
1-unit, attached structure	956	4%
2-4 units	3,267	15%
5-19 units	3,395	16%
20 or more units	3,319	16%
Mobile Home, boat, RV, van, etc	33	0%
Total	21,330	100%

Table 27 – Residential Properties by Unit Number

Data Source: 2007-2011 ACS

Unit Size by Tenure

	Owners		Renters	
	Number	%	Number	%
No bedroom	0	0%	508	6%
1 bedroom	524	5%	3,214	37%
2 bedrooms	2,524	23%	3,690	42%
3 or more bedrooms	8,124	73%	1,291	15%
Total	11,172	101%	8,703	100%

Table 28 – Unit Size by Tenure

Data Source: 2007-2011 ACS

Describe the number and targeting (income level/type of family served) of units assisted with federal, state, and local programs.

The CHAS indicates there is a disproportionate need for affordable housing among all minority small family renter-households and Hispanic large family renter-households. A disproportionate need has also been identified for black, small and elderly Hispanic owner-occupied households. The conclusion can be drawn that many of the minority small family households experiencing some of the greatest difficulty in finding affordable housing are single-parent family households. Based upon 1990 census data, only

28.6% of single parent family households in Middletown are black, but these total 23.9% of all Middletown's black households. Only 5.9% of single parent family households in the City are categorized as Asian/Other, but these make up 22.1% of local Asian/Other households. This compares to 65.5% of all of Middletown's single parent family households being white, but these households represent only 5.4% of total white households

Provide an assessment of units expected to be lost from the affordable housing inventory for any reason, such as expiration of Section 8 contracts.

Current state projections are that another 3,400 of net new supportive housing units will be needed through 2016.

Does the availability of housing units meet the needs of the population?

Middletown's supply of housing units does not currently meet the demand side; however the City shall continue to encourage rental property owners to capitalize on the availability of CDBG funds to conduct routine maintenance and to make improvements to buildings.

Describe the need for specific types of housing:

It is the small family households that require the most comprehensive of services.

Discussion

Affordable Rental Housing has been identified as needing significant improvement with Extremely Low Income residents exhibiting the greatest need spending more than half of their income on housing. With less Federal funds available to support municipal projects and incentives it is believed that existing housing will begin to deteriorate further eroding the City's affordable housing inventory. Also, services will decrease while the actual need for them increases.

MA-15 Housing Market Analysis: Cost of Housing - 91.210(a)

Introduction

The U. S. Department of Housing and Urban Development defines cost-burdened renters or homeowners as those who pay more than 30% of their income for rent or mortgage payments. For most included in these categories, this leaves little money for other necessities such as food, clothing, transportation, utilities, and healthcare. For renters, the situation is more severe, as the median household income for renters is significantly less on average than it is for homeowners.

According to the National Low Income Housing Coalition's *2012 Out of Reach Study* indicates that Connecticut is the **7th** most expensive state in the nation for housing. Middletown has the highest percent of renters in the county (46.2%), as well as the highest number of subsidized units (3,406).
 Source: <http://www.cerc.com>

Cost of Housing

	Base Year: 2000	Most Recent Year: 2011	% Change
Median Home Value	134,400	239,000	78%
Median Contract Rent	600	817	36%

Table 29 – Cost of Housing

Data Source: 2000 Census (Base Year), 2007-2011 ACS (Most Recent Year)

Rent Paid	Number	%
Less than \$500	1,616	18.6%
\$500-999	4,909	56.4%
\$1,000-1,499	1,813	20.8%
\$1,500-1,999	290	3.3%
\$2,000 or more	75	0.9%
Total	8,703	100.0%

Table 30 - Rent Paid

Data Source: 2007-2011 ACS

Housing Affordability

% Units affordable to Households earning	Renter	Owner
30% HAMFI	990	No Data
50% HAMFI	2,330	290

% Units affordable to Households earning	Renter	Owner
80% HAMFI	5,000	1,340
100% HAMFI	No Data	2,870
Total	8,320	4,500

Table 31 – Housing Affordability

Data Source: 2007-2011 CHAS

Monthly Rent

Monthly Rent (\$)	Efficiency (no bedroom)	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom
Fair Market Rent	0	0	0	0	0
High HOME Rent	0	0	0	0	0
Low HOME Rent	0	0	0	0	0

Table 32 – Monthly Rent

Data Source Comments:

Is there sufficient housing for households at all income levels?

Data which has been collected and analyzed indicates an inadequate housing inventory for all income levels. Statistics for southern Middlesex County shows a \$20.77 per hour wage is needed to afford a two-bedroom fair market rate apartment. \$20.77 per hour is 2.5 times greater than the minimum wage. Source: <http://nlihc.org/sites/default/files/oor/2012-OOR.pdf>.

How is affordability of housing likely to change considering changes to home values and/or rents?

With steadily rising home values coupled with steadily rising rent costs it is likely that housing affordability will continue to lag.

How do HOME rents / Fair Market Rent compare to Area Median Rent? How might this impact your strategy to produce or preserve affordable housing?

Discussion

MA-20 Housing Market Analysis: Condition of Housing – 91.210(a)

Introduction

The U. S. Department of Housing and Urban Development defines cost-burdened renters or homeowners as those who pay more than 30% of their income for rent or mortgage payments. For most included in these categories, this leaves little money for other necessities such as food, clothing, transportation, utilities, and healthcare. For renters, the situation is more severe, as the median household income for renters is significantly less on average than it is for homeowners.

According to the National Low Income Housing Coalition's 2012 *Out of Reach Study* indicates that Connecticut is the **7th** most expensive state in the nation for housing. Middletown has the highest percent of renters in the county (46.2%), as well as the highest number of subsidized units (3,406).
 Source: <http://www.cerc.com>

Definitions

Determinations regarding substandard conditions of housing are based upon the City's Health Department Housing Inspection Division. Buildings deemed suitable for rehabilitation must be approved by the City's Health and Building Departments.

Condition of Units

Condition of Units	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
With one selected Condition	3,511	31%	3,689	42%
With two selected Conditions	17	0%	206	2%
With three selected Conditions	0	0%	43	0%
With four selected Conditions	0	0%	0	0%
No selected Conditions	7,644	68%	4,765	55%
Total	11,172	99%	8,703	99%

Table 33 - Condition of Units

Data Source: 2007-2011 ACS

Year Unit Built

Year Unit Built	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
2000 or later	1,003	9%	437	5%
1980-1999	3,173	28%	2,211	25%
1950-1979	4,669	42%	3,492	40%

Year Unit Built	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
Before 1950	2,327	21%	2,563	29%
Total	11,172	100%	8,703	99%

Table 34 – Year Unit Built

Data Source: 2007-2011 CHAS

Risk of Lead-Based Paint Hazard

Risk of Lead-Based Paint Hazard	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
Total Number of Units Built Before 1980	6,996	63%	6,055	70%
Housing Units build before 1980 with children present	795	7%	250	3%

Table 35 – Risk of Lead-Based Paint

Data Source: 2007-2011 ACS (Total Units) 2007-2011 CHAS (Units with Children present)

Vacant Units

	Suitable for Rehabilitation	Not Suitable for Rehabilitation	Total
Vacant Units	0	0	0
Abandoned Vacant Units	0	0	0
REO Properties	0	0	0
Abandoned REO Properties	0	0	0

Table 36 - Vacant Units

Data Source: 2005-2009 CHAS

Need for Owner and Rental Rehabilitation

An affordable housing supply comprised of owner and rental rehab will assist in meeting the demand for housing will result in healthy growth and vibrant economic development in the City.

Estimated Number of Housing Units Occupied by Low or Moderate Income Families with LBP Hazards

An estimated 1,366 housing units occupied by low or moderate families contain lead based paint hazards.

Discussion

A large amount of Middletown's housing was built prior to 1978- some as single family homes, residential communities, and multi-family housing. Market rate multi-family development can address the anticipated increased need in housing.

MA-25 Public and Assisted Housing – 91.210(b)

Introduction

The Middletown Housing Authority (MHA) is the local Public Housing Authority that manages various federal, state and Section 8 funded programs, projects and vouchers. The major developments of the MHA consist of Sbona Tower, Maplewood Terrace and Traverse Square, totaling 234 units. All three of the existing public housing developments in the City receive HUD Comprehensive Grant Program funding which cover all scheduled capital improvements. While none of the developments receive Public Housing Agency Plan funds, the Middletown Housing Authority makes use of federal operating subsidies, Section 8 Administrative funding, Drug Elimination Grant funds and an Economic Development Grant.

Totals Number of Units

	Program Type						
	Certificate	Mod-Rehab	Public Housing	Vouchers			Disabled *
				Project -based	Tenant -based	Special Purpose Voucher	
Total							
# of units vouchers available	0	0	247	3	998	0	0
# of accessible units							
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition							
Table 37 – Total Number of Units by Program Type							

Data Source: PIC (PIH Information Center)

Describe the supply of public housing developments:

Describe the number and physical condition of public housing units in the jurisdiction, including those that are participating in an approved Public Housing Agency Plan:

There are 485 public housing units and 1300 Section 8 units which are all in excellent physical condition.

Consolidated Plan
MIDDLETOWN

Roofs, site work, sidewalks, and heating system improvements are in progress and will continue in the near future as capital items. As a result, all units present well on turnover and all capital improvements contain high design features and first class materials.

Public Housing Condition

Public Housing Development	Average Inspection Score

Table 38 - Public Housing Condition

Describe the restoration and revitalization needs of public housing units in the jurisdiction:

The Middletown Housing Authority routinely performs remodeling updates and improvements to the interior and exterior of buildings. All buildings are in excellent condition.

Describe the public housing agency's strategy for improving the living environment of low- and moderate-income families residing in public housing:

The Middletown Housing Authority strives to provide affordable, clean and decent housing to its clients.

Discussion:

The Middletown Housing Authority (MHA) strives to provide affordable, clean and decent housing to its clients. The MHA housing inventory includes 247 Federally-funded units, 16 units of sensory impaired housing, 124 family housing units (Maplewood Terrace and Traverse Square), 200 State-funded moderate income units and 40 State-funded elderly units. The annual operational budget of the MHA is approximately \$9 million.

MA-30 Homeless Facilities and Services – 91.210(c)

Introduction

The City of Middletown's goal is to be a collaborative partner in supportive housing to improve the life skills of homeless individuals and families and increase their financial viability with an objective to end homelessness. The City of Middletown will provide housing with support services designed to assist with employment training, transportation, childcare and substance abuse counseling/case management.

Facilities and Housing Targeted to Homeless Households

	Emergency Shelter Beds		Transitional Housing Beds Current & New	Permanent Supportive Housing	
	Year Round Beds (Current & New)	Voucher / Seasonal / Overflow Beds		Current & New	Under Development
Households with Adult(s) and Child(ren)	25	0	0	19	0
Households with Only Adults	30	40	14	54	0
Chronically Homeless Households	0	0	0	104	0
Veterans	0	0	0	0	0
Unaccompanied Youth	0	0	0	0	0

Table 39 - Facilities and Housing Targeted to Homeless Households

Data Source Comments:

Describe mainstream services, such as health, mental health, and employment services to the extent those services are used to complement services targeted to homeless persons

Middletown utilizes target committees that meet regularly to focus on needs and provide forums for public input. Supportive Housing Coalition meetings and the Eddy Shelter’s quarterly Client Focus Groups provide an accurate view of needs over time for their target homeless and at-risk populations. Board Members conduct focus groups at the Eddy Shelter providing clients an avenue for input. The Department of Planning, Conservation and Development provides assistance to various service providers of Middletown in developing programs and applying for CDBG funding. The Department also aids in forming partnerships among agencies as well as identifying alternate to assist with project costs.

List and describe services and facilities that meet the needs of homeless persons, particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth. If the services and facilities are listed on screen SP-40 Institutional Delivery Structure or screen MA-35 Special Needs Facilities and Services, describe how these facilities and services specifically address the needs of these populations.

<p>The homeless population receives health services primarily from the Community Health Center (including its program "Wherever You Are - Healthcare for the Homeless"), Middlesex Hospital and their affiliated clinics. Mental health services are provided by River Valley Services, CT Valley Hospital, Gilead Community Services, the Community Health Center, and Middlesex Hospital Behavioral Health. Employment services are available to the homeless through Kuhn Employment Services, River Valley Services and the United Labor Agency. A Community Care Team meets weekly and includes many area providers to discuss the complex issues and challenges for treatment and housing of a relatively small group of frequent users of the hospital emergency room.</p>				
<p>Homeless individuals and families are referred to our local Coordinated Access Network for screening and assessment. From there, some are diverted to family/friends, some enter the Emergency Shelter system or go to the Warming Center, others qualify for Logano Place (transitional housing), and others for either Rapid Rehousing or Permanent Supportive Housing (PSH). Everyone is assessed by the VI-SPDAT, which helps the HAPS (Housing Assessment, Prioritization and Selection) Committee to choose the appropriate intervention based on length of time homeless, at-risk status and vulnerability. Only chronically homeless individuals and families are eligible for PSH. Veterans are referred to one of the agencies/programs that have housing vouchers specifically targeting vets. Unaccompanied youth will sometimes stay at the Warming</p>				

Center or in the Eddy Shelter (Emergency Shelter) but are also referred to programs and services in Hartford or New Haven. </td></tr></tbody></table>

MA-35 Special Needs Facilities and Services – 91.210(d)

Introduction

Regularly scheduled patient evaluation and assessment sessions and follow-up monitoring through supportive networks can reduce recidivism rates as well as prove to be beneficial for the patient in recovery treatment.

Including the elderly, frail elderly, persons with disabilities (mental, physical, developmental), persons with alcohol or other drug addictions, persons with HIV/AIDS and their families, public housing residents and any other categories the jurisdiction may specify, and describe their supportive housing needs

The Middletown Housing Authority administers 40 units at Marino Manor in addition to a total of 1,409 units available for non-institutionalized persons 65 years of age and over. Of these, 488 congregate care units are provided for assisted living. Also, the following supportive housing is provided: **Emergency Shelters** ARC Housing – 7 family units with 42 beds, Community Health Center Battered Women’s Shelter – 2 family units (10 beds), Eddy Home – 30 beds **Transitional Housing** Community Health Center Shelter – 14 beds, Connection’s Women & Children’s Center – 15 beds, Gilead Community Services – 14 beds, Nehemiah Housing – 9 family units, 4 single units, Rushford Center – 20 beds, Shepherd Home – 72 beds, YMCA Youth Shelter – 11 beds **Group Homes for those with developmental disabilities** Community Residence, Inc – 5 beds, Lutheran Service Association – 8 beds, MARC Community Resources – 1 home and 1 condo (8 beds), New England Residential Services – 3 homes (9 beds), CT DMR – 3 homes (18 beds) **Group Homes for the Mentally Ill** Gilead Community Services G – (17 beds), River Valley Services – (20 beds) **Supportive SRO Housing** Luther Manor (elderly) – 45 apartments, Shelter Plus Subsidies – 40 units, YMCA (men only) – 64 SRO units, Elderly and Convalescent Homes & Health Center, Harbor Hill – 180 beds, Heritage Commons (elderly) – 90 units Highview Health Care (convalescent care) – 90 beds, Lutheran Home (elderly) – 38 beds, Middlesex Convalescence Center – 150 beds, Old Middletown High School (elderly) – 65 units, Pond View (elderly and handicapped) – 51 units, South Green Association (elderly) – 125 units, St. Luke’s (elderly and handicapped) – 26 units, Wadsworth Glen (convalescent care) – 90 bed **Major Health Facilities** CT Valley Mental Hospital, Middlesex Hospital, Community Health Center, Center – 33 beds, The Connection – 14 beds

Describe programs for ensuring that persons returning from mental and physical health institutions receive appropriate supportive housing

Regularly scheduled patient evaluation sessions and follow-up monitoring through supportive housing networks can reduce recidivism rates as well as prove to be beneficial for the patient in recovery treatment.

Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. 91.315(e)

The City shall continue to collaboratively support the following agencies who directly address the housing and supportive service needs for the following non-homeless groups:

elderly, frail elderly, persons with disabilities (mental, physical, developmental), persons with alcohol or other drug addiction, persons with HIV/AIDS and their families, and public housing residents. The proposed outcome shall be measured after a one-year period.

The need for special housing for low income frail elderly individuals is increasing. However, there is an abundance of housing opportunities for all elderly aged 65 years and over. A summary inventory by type follows:

Type: Units/Beds

All Elderly Housing 1,957

Assisted Living: 488

Constructed since Oct. 1998: 172 (Luxury)

Independent Living: 815

Nursing: 548

Unidentified 106

For entitlement/consortia grantees: Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. (91.220(2))

N/A

MA-40 Barriers to Affordable Housing – 91.210(e)

Negative Effects of Public Policies on Affordable Housing and Residential Investment

Home rehabilitation involving costly code upgrades, lead and asbestos abatement are a financial deterrent confronting many existing and would be property owners. Federal guidelines and procedures can be both vexing and discouraging to potential property investors. Additionally, neighbor related issues surfacing at Town Planning & Zoning meetings amounting to nothing more than a “Not in my backyard” mentality in opposition to affordable housing is a negative effect.

MA-45 Non-Housing Community Development Assets – 91.215 (f)

Introduction

Middletown, the hub of Middlesex County, is located on the Connecticut River, with easy access to major highways, airports, railroads and other modes of transportation. Middletown's centralized location features 41% of its professional workforce living within a 30 mile radius, and 1/5 of the nation's population within a 500 mile radius. The City will be installing a Gigabit network as part of an economic development initiative and, as way to attract various tech companies that can use the Gigabit advantage for competitive purposes.

Economic Development Market Analysis

Business Activity

Business by Sector	Number of Workers	Number of Jobs	Share of Workers %	Share of Jobs %	Jobs less workers %
Agriculture, Mining, Oil & Gas Extraction	73	7	0	0	0
Arts, Entertainment, Accommodations	1,916	1,529	10	7	-3
Construction	732	740	4	3	-1
Education and Health Care Services	5,180	7,932	27	37	10
Finance, Insurance, and Real Estate	2,074	1,414	11	7	-4
Information	577	251	3	1	-2
Manufacturing	2,423	3,887	12	18	6
Other Services	892	1,113	5	5	0
Professional, Scientific, Management Services	1,838	1,666	9	8	-1
Public Administration	0	0	0	0	0
Retail Trade	2,339	1,850	12	9	-3
Transportation and Warehousing	548	550	3	3	0
Wholesale Trade	945	693	5	3	-2
Total	19,537	21,632	--	--	--

Table 40 - Business Activity

Data Source: 2007-2011 ACS (Workers), 2011 Longitudinal Employer-Household Dynamics (Jobs)

Labor Force

Total Population in the Civilian Labor Force	26,411
Civilian Employed Population 16 years and over	24,470
Unemployment Rate	7.35
Unemployment Rate for Ages 16-24	15.51
Unemployment Rate for Ages 25-65	5.48

Table 41 - Labor Force

Data Source: 2007-2011 ACS

Occupations by Sector	Number of People
Management, business and financial	6,723
Farming, fisheries and forestry occupations	1,154
Service	2,500
Sales and office	5,733
Construction, extraction, maintenance and repair	1,605
Production, transportation and material moving	1,253

Table 42 – Occupations by Sector

Data Source: 2007-2011 ACS

Travel Time

Travel Time	Number	Percentage
< 30 Minutes	16,291	71%
30-59 Minutes	5,726	25%
60 or More Minutes	980	4%
Total	22,997	100%

Table 43 - Travel Time

Data Source: 2007-2011 ACS

Education:

Educational Attainment by Employment Status (Population 16 and Older)

Educational Attainment	In Labor Force		Not in Labor Force
	Civilian Employed	Unemployed	
Less than high school graduate	731	121	832
High school graduate (includes equivalency)	5,125	688	1,884
Some college or Associate's degree	5,646	356	1,128

Educational Attainment	In Labor Force		Not in Labor Force
	Civilian Employed	Unemployed	
Bachelor's degree or higher	8,299	267	1,023

Table 44 - Educational Attainment by Employment Status

Data Source: 2007-2011 ACS

Educational Attainment by Age

	Age				
	18-24 yrs	25-34 yrs	35-44 yrs	45-65 yrs	65+ yrs
Less than 9th grade	76	171	92	238	714
9th to 12th grade, no diploma	349	260	289	634	913
High school graduate, GED, or alternative	1,478	1,491	2,098	4,108	2,236
Some college, no degree	2,976	1,517	1,024	2,244	796
Associate's degree	192	454	760	1,144	208
Bachelor's degree	652	2,452	1,307	1,822	602
Graduate or professional degree	139	1,094	1,003	1,944	500

Table 45 - Educational Attainment by Age

Data Source: 2007-2011 ACS

Educational Attainment – Median Earnings in the Past 12 Months

Educational Attainment	Median Earnings in the Past 12 Months
Less than high school graduate	23,987
High school graduate (includes equivalency)	35,609
Some college or Associate's degree	40,971
Bachelor's degree	54,498
Graduate or professional degree	71,796

Table 46 – Median Earnings in the Past 12 Months

Data Source: 2007-2011 ACS

Based on the Business Activity table above, what are the major employment sectors within your jurisdiction?

Middletown has more than 26,000 in the labor force. Education and Health Care Services positions leads as a sector with employment of 5180 workers, followed by 2423 in Manufacturing, 2339 in retail, 2074 in Finance, Insurance, and Real Estate.

Describe the workforce and infrastructure needs of the business community:

Because of Middletown's centralized location for commuters and 41% of its professional workforce live within a 30 mile radius, an adequate employment pool for all work sectors is available. Fiber optic data lines are available to all resident and business locations. The City will be installing a Gigabit network as part of an economic development initiative and, as way to attract various tech companies that can use the Gigabit advantage for competitive purposes.

Describe any major changes that may have an economic impact, such as planned local or regional public or private sector investments or initiatives that have affected or may affect job and business growth opportunities during the planning period. Describe any needs for workforce development, business support or infrastructure these changes may create.

An approved 96 unit mid-town apartment project geared towards young professionals and empty nesters is awaiting construction. The project will create an increase in the housing inventory as well as bolster the local economy. Also, the City will be installing a Gigabit network as part of an economic development initiative and, as way to attract various tech companies that can use the Gigabit advantage for competitive purposes.

How do the skills and education of the current workforce correspond to employment opportunities in the jurisdiction?

There is an adequate supply of trained and qualified members for all sectors of the workforce.

Describe any current workforce training initiatives, including those supported by Workforce Investment Boards, community colleges and other organizations. Describe how these efforts will support the jurisdiction's Consolidated Plan.

The State of CT Workforce Alliance is a policy and oversight organization responsible for creating a comprehensive, community-wide response to the challenges of building a highly skilled workforce.

Does your jurisdiction participate in a Comprehensive Economic Development Strategy (CEDS)?

No

If so, what economic development initiatives are you undertaking that may be coordinated with the Consolidated Plan? If not, describe other local/regional plans or initiatives that impact economic growth.

Middletown has implemented an aggressive economic development program concentrating on:

- Building and maintaining a collaborative partnership with existing businesses
- Working aggressively to recruit businesses from out of the area, region and state
- Proposing a ultra-high speed Gig internet service which can exponentially increase productivity for businesses

Discussion

A thorough analysis of available data regarding demographics, employment trends, the housing stock, education, the economy, and land availability has assisted the City's Planning Department in helping neighborhoods and businesses succeed.

MA-50 Needs and Market Analysis Discussion

Are there areas where households with multiple housing problems are concentrated? (include a definition of "concentration")

Middletown's aging affordable housing has grown from a concentration of old, established, densely settled neighborhoods clustered on or adjacent to Main Street with most homes having been built around 1900. Areas with multiple housing problems tend to be streets/neighborhoods located within the north end of the downtown area.

Are there any areas in the jurisdiction where racial or ethnic minorities or low-income families are concentrated? (include a definition of "concentration")

Concentrated areas shall be defined as a comparison greater than 75% of all people living in an area. There are racial or ethnic minorities, and low-income families living in densely settled older neighborhoods and apartment complexes.

What are the characteristics of the market in these areas/neighborhoods?

Racial or ethnic minorities, and low-income families living in concentrated areas experience a common housing issue related to rents. While Middletown is fortunate to maintain a large inventory of affordable housing (approximately 20%) nearly 1/3 of the affordable housing is located within the City's North End. The North End has the lowest percentage of owner occupied housing

Are there any community assets in these areas/neighborhoods?

For discussion purposes, a community asset shall be defined as land and buildings that can be reinvested into activities that benefit the community or be realized as a profit to benefit the community. Several municipally owned properties, places of worship, a private university and an active Main Street all contribute to the list of community assets within or adjacent to the subject areas/neighborhoods.

Are there other strategic opportunities in any of these areas?

Are there other strategic opportunities in any of these areas?

The following additional opportunities exist in the subject areas:

- Future CDBG funding for affordable housing building improvements
- Creation of additional housing with re-adaptive use of exiting vacant buildings
- Crime rates can be decreased with additional Police funding

Strategic Plan

SP-05 Overview

Strategic Plan Overview

The City of Middletown's goal is to produce a five (5) year strategic plan that will serve as a guide for government, business, non-profits and residents in promoting economic development, employment opportunities, decent affordable housing and supportive services, with a focus on eliminating poverty and improving the quality of life for low income residents.

A comprehensive approach is needed for Middletown to succeed in economic growth and stability. Working with local partners Middletown has concentrated on:

- Decent, affordable housing which must be maintained, preserved and increased with new construction
- Jobs must be preserved and created
- Public buildings, places and areas must be improved to allow access for all

SP-10 Geographic Priorities – 91.215 (a)(1)

Geographic Area

Table 47 - Geographic Priority Areas

1	Area Name:	City of Middletown- Community-wide
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
Are there barriers to improvement in this target area?		
2	Area Name:	Downtown Middletown- 5416
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
Identify the needs in this target area.		

	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	
3	Area Name:	Middletown Enterprise Zone
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	
4	Area Name:	Miller Bridge Redevelopment Area
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Other
	Other Revital Description:	Neighborhood Acquisition
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	

	Are there barriers to improvement in this target area?	
5	Area Name:	North End Industrial Redevelopment Area
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Commercial
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	
6	Area Name:	North End Neighborhood Housing Redevelopment- 5411
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Housing
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	

	Are there barriers to improvement in this target area?	
7	Area Name:	North End Redevelopment Area
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	
8	Area Name:	South End- 5417
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	

General Allocation Priorities

Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA)

Each of the geographic areas selected for allocating investments represent different and diverse circumstances which when cultivated, result in improved economic opportunities.

The City's current Plan of Conservation and Development has addressed the issue of declining housing stock by recommending that more, denser housing be built around the downtown area where there are plans for high-tech development. This expanded housing stock can be mixed-income, is less costly to build than single family detached homes, and will attract young single professionals working in technical trades who will want to be near the dining and entertainment areas of the downtown. Incentives shall be provided for private developers willing to reserve a percentage of their new multi-unit housing for low-income persons.

The adaptive re-use of older industrial and institutional buildings to residential units is a cost efficient and aesthetic approach to vacant structures. Opportunities for further adaptive re-use lie north and southwest of the downtown. If re-development for residential purposes occurs, it would add further to a sagging housing inventory as well as extend the historic character of Middletown into residential and mixed-use areas which has been encouraged by urban planners.

SP-25 Priority Needs - 91.215(a)(2)

Priority Needs

Table 48 – Priority Needs Summary

1	Priority Need Name	JOBS, JOBS, JOBS
	Priority Level	High
	Population	Extremely Low Low Moderate Middle Non-housing Community Development
	Geographic Areas Affected	
	Associated Goals	J-1 Remington Rand Small Business Commercial Space J-2 Multi Year Grants for Career and Job Services J-3 Small Business Creation and Expansion Grants
	Description	The anticipated and desired economic soundness of Middletown's future may be determined by examining how key issues impacting unemployment such as affordable housing and transportation are effectively addressed today.
	Basis for Relative Priority	
2	Priority Need Name	Livable Neighborhoods
	Priority Level	High

Population	Extremely Low Low Moderate Middle Large Families Families with Children Elderly Public Housing Residents Elderly Frail Elderly Persons with Physical Disabilities Non-housing Community Development
Geographic Areas Affected	
Associated Goals	LN-1 Targeted Investment for Downtown Housing LN-2 Rapid Response Blight Revolving Fund LN-3 Rental Housing Re-Inspection License Program LN-4 Support Programs Addressing Quality of Life I LN-5 Improve Public Amenities in Low/Mod Areas
Description	Placing a value emphasis on Middletown's residential real estate market assists in the assessment of a neighborhood's health through analysis of vacancies, crime statistics, foreclosure statistics and code enforcement statistics. This priority objective creates greater access which is needed to live productive and independent lives.
Basis for Relative Priority	
3	Priority Need Name
Access for All	Priority Level
	Low

Population	Extremely Low Low Moderate Middle Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children veterans Persons with HIV/AIDS Victims of Domestic Violence Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Non-housing Community Development
Geographic Areas Affected	
Associated Goals	AA-1 Micro Grants for Senior Housing AA-2 After School Scholarship Program AA-3 Middlesex 10 Year Plan to End Homelessness AA-4 Accessible Public Facilities AA-5 Reducing Hunger Grants
Description	Property owners are presented with funding opportunities and are encouraged to make modifications to buildings and places which will provide needed housing and services to residents with an objective of creating greater access needed to live productive and independent lives.

Basis for Relative Priority	
--	--

Narrative (Optional)

The anticipated and desired economic soundness of Middletown's future may be determined by examining how key issues impact unemployment.

- Job training is and will continue to be provided by several of Middletown's partners
- Safe, affordable housing is and will continue to be a top priority
- Transportation- Bus corridors and pedestrian and bike trails/paths are being improved and expanded connecting both outlying areas as well as isolated neighborhoods to the city's central core

SP-30 Influence of Market Conditions – 91.215 (b)

Influence of Market Conditions

Affordable Housing Type	Market Characteristics that will influence the use of funds available for housing type
Tenant Based Rental Assistance (TBRA)	The City of Middletown does not participate in Tenant Base Rental Assistance programs.
TBRA for Non-Homeless Special Needs	The City of Middletown does not participate in Tenant Base Rental Assistance programs.
New Unit Production	A healthy, robust market will encourage new construction investment to the affordable housing stock encouraging the allocation of program funds.
Rehabilitation	A healthy, robust market will encourage rehabilitation investment to the affordable housing stock thus encouraging the allocation of program funds.
Acquisition, including preservation	A healthy, robust market will encourage acquisition and preservation investments to the affordable housing stock thus encouraging the allocation of program funds.

Table 49 – Influence of Market Conditions

SP-35 Anticipated Resources - 91.215(a)(4), 91.220(c)(1,2)

Introduction

Federal funds received by the City provide opportunities in the form of job creation, decent housing, food for the hungry and after school programs. The net result is an overall improvement in the quality of life for individuals residing and working within target areas.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1			Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	405,851	10,000	414,000	829,851	100,000

Table 50 - Anticipated Resources

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Annual funding received by the City from HUD is leveraged by municipal budget allocations thereby increasing disbursements to sub grantees.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Not applicable

Discussion

Anticipated funding at current or greater levels would be beneficial to the City in forecasting and scheduling projects with sub-grantees. Planning for future phasing of projects could result in better use of CDBG and leveraged funds.

SP-40 Institutional Delivery Structure – 91.215(k)

Explain the institutional structure through which the jurisdiction will carry out its consolidated plan including private industry, non-profit organizations, and public institutions.

Responsible Entity	Responsible Entity Type	Role	Geographic Area Served
MIDDLETOWN	Government	Economic Development Planning public services	Jurisdiction

Table 51 - Institutional Delivery Structure

Assess of Strengths and Gaps in the Institutional Delivery System

The City of Middletown's Department of Planning, Conservation and Development is the lead agency in the coordination and development of the HUD Consolidated Plan. The Department is responsible for the general administration of CDBG funds and directly administers several programs funded by CDBG. The City of Middletown Housing Authority is responsible for the administration of housing related funds directly allocated through their agency. The non-profits and other City Departments who receive various sources of funding are also responsible for the programs they administer. Service is guided by the following target committees, coalitions and teams for special needs groups: Supportive Housing Coalition Committee Concerning People with Disabilities Homeless Outreach Team Senior Affairs Committee Middlesex Coalition for Children Senior Services Community Advisory Committee Connecticut Valley Hospital Advisory Committee Middlesex Crisis Intervention Team Middletown Housing Partnership Middlesex Community Reinvestment Act Committee.

Services provided via this institutional system are reliable and effective in meeting the needs of targeted groups. Most, if not all of the providers included in this discussion, participate in various dialog sessions with the City of Middletown's PCD Department regarding roles, goal planning and assessment of deliverables.

Availability of services targeted to homeless persons and persons with HIV and mainstream services

Homelessness Prevention Services	Available in the Community	Targeted to Homeless	Targeted to People with HIV
Homelessness Prevention Services			
Counseling/Advocacy	X	X	
Legal Assistance	X		
Mortgage Assistance	X		
Rental Assistance	X		

Homelessness Prevention Services	Available in the Community	Targeted to Homeless	Targeted to People with HIV
Homelessness Prevention Services			
Utilities Assistance	X		
Street Outreach Services			
Law Enforcement	X		
Mobile Clinics	X		
Other Street Outreach Services	X	X	
Supportive Services			
Alcohol & Drug Abuse	X	X	X
Child Care	X		
Education	X		
Employment and Employment Training	X		
Healthcare	X	X	X
HIV/AIDS	X	X	X
Life Skills	X		
Mental Health Counseling	X	X	
Transportation	X	X	
Other			

Table 52 - Homeless Prevention Services Summary

Describe how the service delivery system including, but not limited to, the services listed above meet the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth)

The homeless population and persons with HIV receive health services primarily from the Community Health Center, Middlesex Hospital and their affiliated clinics. Mental health services are provided by River Valley Services, CT Valley Hospital, Gilead Community Services, the Community Health Center, and Middlesex Hospital Behavioral Health. Employment services are made available to the homeless through Kuhn Employment Services, River Valley Services and the United Labor Agency. A Community Care Team meets weekly and includes many area providers to discuss the complex issues and challenges for treatment and housing of a relatively small group of frequent users of the hospital emergency room. Homeless individuals and families are referred to our local Coordinated Access Network for screening and assessment. From there, some are diverted to family/friends, some enter the Emergency Shelter system or go to the Warming Center, others qualify for Logano Place (transitional housing), and others for either Rapid Rehousing or Permanent Supportive Housing (PSH). Only chronically homeless individuals and families are eligible for PSH. Homeless veterans are referred to programs that have housing vouchers specifically targeting vets. Unaccompanied youth will sometimes stay at the Warming Center or in the Eddy Shelter (Emergency Shelter) but are also referred to programs and services in Hartford or New Haven.

Describe the strengths and gaps of the service delivery system for special needs population and persons experiencing homelessness, including, but not limited to, the services listed above

The City of Middletown's Department of Planning, Conservation and Development is the lead agency in the coordination and development of the HUD Consolidated Plan. The Department is responsible for the general administration of CDBG funds and directly administers several programs funded by CDBG. The City of Middletown Housing Authority is responsible for the administration of housing related funds directly allocated through their agency. The non-profits and other City Departments who receive various sources of funding are also responsible for the programs they administer. Service is guided by the following target committees, coalitions and teams for special needs groups: Supportive Housing Coalition Committee Concerning People with Disabilities Homeless Outreach Team Senior Affairs Committee Middlesex Coalition for Children Senior Services Community Advisory Committee Connecticut Valley Hospital Advisory Committee Middlesex Crisis Intervention Team Middletown Housing Partnership Middlesex Community Reinvestment Act Committee.

Provide a summary of the strategy for overcoming gaps in the institutional structure and service delivery system for carrying out a strategy to address priority needs

Strategy priority needs will be assessed for meeting specific, measurable, achievable, realistic and time phased goals. If indicated, modifications to actions will be implemented and strategies will be re-assessed.

SP-45 Goals Summary – 91.215(a)(4)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	J-1 Remington Rand Small Business Commercial Space	2015	2019	Non-Housing Community Development	Middletown Enterprise Zone North End Industrial Redevelopment Area	JOBS, JOBS, JOBS		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 26 Persons Assisted Brownfield acres remediated: 9 Acre Businesses assisted: 26 Businesses Assisted
2	J-2 Multi Year Grants for Career and Job Services	2015	2019	Non-Housing Community Development	City of Middletown-Community-wide	JOBS, JOBS, JOBS		Public service activities other than Low/Moderate Income Housing Benefit: 750 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	J-3 Small Business Creation and Expansion Grants	2015	2019	Non-Housing Community Development	North End Redevelopment Area Downtown Middletown- 5416 Middletown Enterprise Zone South End- 5417 North End Industrial Redevelopment Area City of Middletown-Community-wide	JOB, JOBS, JOBS		Jobs created/retained: 300 Jobs
4	LN-1 Targeted Investment for Downtown Housing	2015	2019	Affordable Housing	North End Redevelopment Area Downtown Middletown- 5416 Middletown Enterprise Zone South End- 5417 North End Neighborhood Housing Redevelopment- 5411	Livable Neighborhoods		Rental units rehabilitated: 12 Household Housing Unit
5	LN-2 Rapid Response Blight Revolving Fund	2015	2019	Affordable Housing	North End Redevelopment Area North End Neighborhood Housing Redevelopment- 5411	Livable Neighborhoods		Homeowner Housing Rehabilitated: 20 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	LN-3 Rental Housing Re-Inspection License Program	2015	2019	Affordable Housing	North End Redevelopment Area Downtown Middletown- 5416 South End- 5417 North End Neighborhood Housing Redevelopment- 5411 City of Middletown- Community-wide	Livable Neighborhoods		Buildings Demolished: 300 Buildings
7	LN-4 Support Programs Addressing Quality of Life I	2015	2019	Non-Housing Community Development	North End Redevelopment Area Downtown Middletown- 5416 South End- 5417 North End Neighborhood Housing Redevelopment- 5411 City of Middletown- Community-wide	Livable Neighborhoods		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 7080 Persons Assisted
8	LN-5 Improve Public Amenities in Low/Mod Areas	2015	2019	Non-Housing Community Development	City of Middletown- Community-wide	Livable Neighborhoods		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 7080 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
9	AA-1 Micro Grants for Senior Housing	2015	2019	Affordable Housing	City of Middletown-Community-wide	Access for All		Rental units rehabilitated: 25 Household Housing Unit Homeowner Housing Rehabilitated: 10 Household Housing Unit
10	AA-2 After School Scholarship Program	2015	2019	Non-Housing Community Development	City of Middletown-Community-wide	Access for All		Public service activities other than Low/Moderate Income Housing Benefit: 500 Persons Assisted
11	AA-3 Middlesex 10 Year Plan to End Homelessness	2015	2019	Homeless	City of Middletown-Community-wide	Access for All		Homelessness Prevention: 110 Persons Assisted Housing for Homeless added: 50 Household Housing Unit
12	AA-4 Accessible Public Facilities	2015	2019	Non-Housing Community Development	City of Middletown-Community-wide	Access for All		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 7080 Persons Assisted
13	AA-5 Reducing Hunger Grants	2015	2019	Non-Housing Community Development	City of Middletown-Community-wide	Access for All		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 2251 Persons Assisted

Table 53 – Goals Summary

Goal Descriptions

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1	<p>Goal Name</p> <p>J-1 Remington Rand Small Business Commercial Space</p>	<p>Goal Description</p> <p>Remington Rand is a City owned former manufacturing building located at 180 Johnson Street in Middletown's North End. Acquired through a tax foreclosure, the 184,000 square foot facility currently houses 26 businesses.</p> <p>The initial goal focused on the design and rehab of a 10,000 square foot section that could provide space for newly created small businesses owned by low and moderate income residents or expanding small business that commit to providing jobs to low and moderate income residents. Leases would last 3 to 5 years and lease terms would provide a period of free rent then gradually increasing to market rate.</p> <p>The site is currently an active brownfield remediation project.</p>
2	<p>Goal Name</p> <p>J-2 Multi Year Grants for Career and Job Services</p>	<p>Goal Description</p> <p>This strategy provides services to meet the needs unemployed and underemployed residents through a comprehensive program to help prepare clients for work, find employment and stay employed. Services and goals included and not limited to; helping clients create effective resumes, preparing for job interviews, job coaching, employment retention training and on the job monitoring.</p>
3	<p>Goal Name</p> <p>J-3 Small Business Creation and Expansion Grants</p>	<p>Goal Description</p> <p>Small businesses start-ups or small, operating for less than 12 months, would be eligible for up to \$2,000 in grants. The majority of the grant, up to \$1,500, can be used for program eligible expenses, such as business license fees rent/lease payment; telephone/utility hook-up charges; and inventory purchases. Small businesses operating for more than 12 months are eligible for a grant of up to \$1,500 to assist in the costs of new hires. For both start-up and expanding businesses, there is also an optional \$500 "carrot" (grant) that is offered as an incentive to participate in approved business development training programs. Service providers would be approved for the entire 5 year strategic plan. For the purposes of this grant a small business is a firm that has 50 employees or fewer and/or annual receipts of less than \$4.5 million dollars (based on the lowest thresholds as defined by the Small Business Administration). The goal is job creation.</p>

4	Goal Name	LN-1 Targeted Investment for Downtown Housing
	Goal Description	
5	Goal Name	LN-2 Rapid Response Blight Revolving Fund
	Goal Description	Eligible funding for equipment rental, materials and supplies required for the correction of an emergency situation. Eligible activities include repairs to playgrounds, repairs to parks, clearance of streets, and improvements to private properties. Repayment of funds expended are required to be repaid to the program either from through a general fund request or through the annual budget process. In the case of private property, either a voluntary repayment plan negotiated with the property owner or recapture funds by placing a lien the property. The goal is to eliminate blight.
6	Goal Name	LN-3 Rental Housing Re-Inspection License Program
	Goal Description	The City of Middletown has an aggressive multi-department enforcement process which is dependent on complaints to alert the City of code violations. This goal seeks to create a proactive enforcement structure and set a standard for rental apartments requiring routine compliance. The Rental Housing Re-Inspection Program requires all non-owner-occupied property owners intending to rent to a new tenant be inspected to verify compliance with local and state housing codes prior to re-occupancy.
7	Goal Name	LN-4 Support Programs Addressing Quality of Life I
	Goal Description	This goal addresses quality of life issues is vital to the well-being, stability and perception of a neighborhood. Environmental, Physical, Mobility, Social, Psychological, Economical and Political issues exist in a neighborhood and require constant monitoring and intervention.
8	Goal Name	LN-5 Improve Public Amenities in Low/Mod Areas
	Goal Description	

9	Goal Name	AA-1 Micro Grants for Senior Housing
	Goal Description	Conditions within the home can present enormous physical barriers and limitations creating unsafe living conditions for the elderly and the disabled population. This goal is intended to provide either grants or loans to the elderly and the disabled when minor modifications are necessary to provide greater accessibility in homes. Grants up to \$1,000 are available and require a match based on a sliding scale. The City's ADA Coordinator assists in determining what is necessary to and correct accessibility issues.
10	Goal Name	AA-2 After School Scholarship Program
	Goal Description	After School programs continue to be the leading requestor of Middletown's CDBG funds making this an important goal. As this type of funding is strictly regulated by HUD, the City has revamped its' information delivery system in an effort to increase service to more organizations and residents.
11	Goal Name	AA-3 Middlesex 10 Year Plan to End Homelessness
	Goal Description	
12	Goal Name	AA-4 Accessible Public Facilities
	Goal Description	

13	AA-5 Reducing Hunger Grants	<p>The 2014 Hunger in America Study gives great insight to the issues of Hunger:</p> <ul style="list-style-type: none"> • 73 % of client households report purchasing the cheapest foods available, even if they knew it wasn't the healthiest option • 54 % of client households report receiving help from family and friends • 41% of client households watered down food or drinks • 35% of client households pawned or sold personal property <p>Middletown's only soup kitchen (St. Vincent DePaul) and food pantry (Amazing Grace) continues to see an increase in the number of people who are seeking help. In 2013, the Soup Kitchen Meals Program served 83,153 meals during year or nearly 1,600 meals per week. In 2014, the Amazing Grace Food Pantry served 1,037 households each month which translate to 270,140 meals a year, or 22,512 meals per month. Approximately 435,330 food items were distributed in 2014, or 36,278 each month. These households represent an average of 1,423 adults, 550 children and 278 elderly served each month. These services offer residents the opportunity to supplement their food bill by shopping once a month, free of charge. This goal reduces hunger.</p>
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Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.315(b)(2)

SP-50 Public Housing Accessibility and Involvement – 91.215(c)

Need to Increase the Number of Accessible Units (if Required by a Section 504 Voluntary Compliance Agreement)

The Middletown Housing Authority has well exceeded required levels of accessible units for many years. The most recent property acquisition, Monarca Place, is compliant in all 18 units.

Activities to Increase Resident Involvements

The Middletown Housing Authority (MHA) conducts monthly meetings at its main office and encourages residents to attend. The minutes indicate various business issues such as homeownership is discussed.

Is the public housing agency designated as troubled under 24 CFR part 902?

No

Plan to remove the 'troubled' designation

N/A

SP-55 Barriers to affordable housing – 91.215(h)

Barriers to Affordable Housing

Home rehabilitation involving costly code upgrades, lead and asbestos abatement are a financial deterrent confronting many existing and would be property owners. Federal guidelines and procedures can be both vexing and discouraging to potential property investors. Additionally, neighbor related issues surfacing at Town Planning & Zoning meetings amounting to nothing more than a “Not in my backyard” mentality in opposition to affordable housing is a negative effect.

Strategy to Remove or Ameliorate the Barriers to Affordable Housing

<u>Barriers to Affordable Housing</u>	<u>Strategy to Remove Barriers</u>
<ul style="list-style-type: none">• NIMBY; housing authority leaders	Public education on Affordable Housing by municipal and
<ul style="list-style-type: none">• development regulations and additional affordable units	Create less restrictive regulations which would allow for
<ul style="list-style-type: none">• ADA, home modification accessibility	Increase accessibility of existing units
<ul style="list-style-type: none">• taxes and fees; leaders to moderate fees	Encourage lawmakers to re-structure taxes and municipal

SP-60 Homelessness Strategy – 91.215(d)

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The homeless population receives health services primarily from the Community Health Center (including its program "Wherever You Are - Healthcare for the Homeless"), Middlesex Hospital and their affiliated clinics. Mental health services are provided by River Valley Services, CT Valley Hospital, Gilead Community Services, the Community Health Center, and Middlesex Hospital Behavioral Health. Employment services are available to the homeless through Kuhn Employment Services, River Valley Services and the United Labor Agency. A Community Care Team meets weekly and includes many area providers to discuss the complex issues and challenges for treatment and housing of a relatively small group of frequent users of the hospital emergency room. Also, The Homeless Outreach Team, in concert with the Shelter Plus Care Coordinating Committee, both identify homeless people in the area as well as participate in a Supportive Housing Coalition.

Addressing the emergency and transitional housing needs of homeless persons

The local Coordinated Access Network conducts a comprehensive screening and assessment which includes the emergency shelter and transitional housing needs of a homeless person.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

Homeless individuals and families are referred to our local Coordinated Access Network for screening and assessment. From there, some are diverted to family/friends, some enter the Emergency Shelter system or go to the Warming Center, others qualify for Logano Place (transitional housing), and others for either Rapid Rehousing or Permanent Supportive Housing (PSH). Everyone is assessed by the VI-

SPDAT, which helps the HAPS (Housing Assessment, Prioritization and Selection) Committee to choose the appropriate intervention based on length of time homeless, at-risk status and vulnerability. Only chronically homeless individuals and families are eligible for PSH. Veterans are referred to one of the agencies/programs that have housing vouchers specifically targeting vets. Unaccompanied youth will sometimes stay at the Warming Center or in the Eddy Shelter (Emergency Shelter) but are also referred to programs and services in Hartford or New Haven.

Help low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to become homeless after being discharged from a publicly funded institution or system of care, or who are receiving assistance from public and private agencies that address housing, health, social services, employment, education or youth needs

The local Coordinated Access Network for screening and assessment includes assessments for Homeless At-Risk and Homeless High Vulnerability.

SP-65 Lead based paint Hazards – 91.215(i)

Actions to address LBP hazards and increase access to housing without LBP hazards

Proactively, the Visting Nurse Association maintains a record of all reported cases of children, under the age of seven, with a blood lead level of 10mcg/dL or higher. Cases reaching 20 mcg/dL or higher are referred to the City of Middletown, Health Department as required by City ordinance and Connecticut State law.

How are the actions listed above related to the extent of lead poisoning and hazards?

All of Middletown's existing public housing developments were built after 1969, and therefore have a very low to non-existent risk of lead poisoning. Children age two to six (and younger) are those most at risk of lead poisoning. Populations living below the poverty level are more likely to have other risk factors which increase their risk of lead poisoning, with the incidence of lead poisoning being directly correlated with the age and condition of the housing

How are the actions listed above integrated into housing policies and procedures?

All of Middletown's existing public housing developments were built on or after 1969, and therefore have a very low to non-existent risk of lead poisoning. Children age two to six (and younger) are those most at risk of lead poisoning. Populations living below the poverty level are more likely to have other risk factors which increase their risk of lead poisoning, with the incidence of lead poisoning being directly correlated with the age and condition of the housing. Proactively, the VNA maintains a record of all reported cases of children, under the age of seven, with a blood lead level of 10mcg/dL or higher. Cases reaching 20 mcg/dL or higher are referred to the City of Middletown, Health Department as required by City ordinance and Connecticut State law. The Health Department then directly communicates with the family of the affected child. Despite State and Federal funding received, abatement costs are prohibitive to owners and are both an ownership and remediation deterrent

SP-70 Anti-Poverty Strategy – 91.215(j)

Jurisdiction Goals, Programs and Policies for reducing the number of Poverty-Level Families

GOALS

- The City of Middletown shall reduce (or assist in reducing) the number of poverty-level families by encouraging and funding employment training and job matching to individuals by targeting unemployed or underemployed with an emphasis on job retention and economic growth and stability
- The City of Middletown shall encourage and where possible, assist in effecting changes in affordable access to housing, childcare and transportation to assist with greater success in the area of job retention **PROGRAMS/SERVICES**
- The City of Middletown shall coordinate intervention, training, support and routine reassessment by partnering with Supportive Housing Coalition, Committee Concerning People with Disabilities, Homeless Outreach Team, Senior Affairs Committee, Middlesex Coalition for Children, Senior Services, Community Advisory Committee, Connecticut Valley Hospital Advisory Committee, Middlesex Crisis Intervention Team, Middletown Housing Partnership and the Middlesex Community Reinvestment Act Committee **POLICIES**
- The City of Middletown shall reduce (or assist in reducing) the number of poverty-level families
- The City of Middletown shall encourage affordable access to housing, childcare and transportation

How are the Jurisdiction poverty reducing goals, programs, and policies coordinated with this affordable housing plan

By accurately identifying problematic key issues countered with sound interventions, the City of Middletown coordinates the Affordable Housing component of the Consolidated Plan with goals, programs and policies designed to reduce poverty.

SP-80 Monitoring – 91.230

Describe the standards and procedures that the jurisdiction will use to monitor activities carried out in furtherance of the plan and will use to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The Strategic Plan shall be periodically reviewed by Planning, Conservation and Development staff, the Citizens Advisory Committee, the Mayor and the Common Council and may be revised as found necessary in order to attain the goals, objectives, policies and strategies required to meet the identified needs of the residents of the City of Middletown.

Additionally, there shall be an opportunity for the public through government transparency to examine and question the Plan and its performance as well as an opportunity for advisory and regulatory branches of municipal, state and federal agencies, and area non-profit agencies to evaluate and provide comment on performance of the Plan.

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Federal funds received by the City provide opportunities in the form of job creation, decent housing, food for the hungry and after school programs. The net result is an overall improvement in the quality of life for individuals residing and working within target areas.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1			Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	405,851	10,000	414,000	829,851	100,000
					Total: \$		

Table 54 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Annual funding received by the City from HUD is leveraged by municipal budget allocations thereby increasing disbursements to sub grantees.

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If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Not applicable

Discussion

Anticipated funding at current or greater levels would be beneficial to the City in forecasting and scheduling projects with sub-grantees. Planning for future phasing of projects could result in better use of CDBG and leveraged funds.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	J-1 Remington Rand Small Business Commercial Space	2015	2019	Non-Housing Community Development	North End Redevelopment Area	JOB, JOBS, JOBS		Facade treatment/business building rehabilitation: 2 Business Jobs created/retained: 100 Jobs Businesses assisted: 26 Businesses Assisted
2	J-2 Multi Year Grants for Career and Job Services	2015	2019	Non-Housing Community Development	City of Middletown-Community-wide	JOB, JOBS, JOBS		Public service activities other than Low/Moderate Income Housing Benefit: 750 Persons Assisted
3	J-3 Small Business Creation and Expansion Grants	2015	2019	Non-Housing Community Development	North End Redevelopment Area Downtown Middletown- 5416 Middletown Enterprise Zone South End- 5417 North End Industrial Redevelopment Area City of Middletown-Community-wide	JOB, JOBS, JOBS		Jobs created/retained: 250 Jobs

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	LN-1 Targeted Investment for Downtown Housing	2015	2019	Affordable Housing	Downtown Middletown- 5416 Middletown Enterprise Zone South End- 5417 North End Industrial Redevelopment Area North End Neighborhood Housing Redevelopment- 5411	Livable Neighborhoods		Rental units rehabilitated: 12 Household Housing Unit
5	LN-2 Rapid Response Blight Revolving Fund	2015	2019	Affordable Housing	North End Redevelopment Area North End Neighborhood Housing Redevelopment- 5411	Livable Neighborhoods		Homeowner Housing Rehabilitated: 20 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	LN-3 Rental Housing Re-inspection License Program	2015	2019	Affordable Housing	North End Redevelopment Area Downtown Middletown- 5416 South End- 5417 North End Neighborhood Housing Redevelopment- 5411 City of Middletown- Community-wide	Livable Neighborhoods		Housing Code Enforcement/Foreclosed Property Care: 300 Household Housing Unit
7	LN-4 Support Programs Addressing Quality of Life I	2015	2019	Non-Housing Community Development	North End Redevelopment Area Downtown Middletown- 5416 South End- 5417 North End Neighborhood Housing Redevelopment- 5411 City of Middletown- Community-wide	Livable Neighborhoods		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 7080 Persons Assisted
8	LN-5 Improve Public Amenities in Low/Mod Areas	2015	2019	Non-Housing Community Development	City of Middletown- Community-wide	Livable Neighborhoods		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 7080 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
9	AA-1 Micro Grants for Senior Housing	2015	2019	Affordable Housing	City of Middletown-Community-wide	Access for All		Rental units rehabilitated: 25 Household Housing Unit Homeowner Housing Rehabilitated: 25 Household Housing Unit
10	AA-2 After School Scholarship Program	2015	2019	Non-Housing Community Development	City of Middletown-Community-wide			Public service activities other than Low/Moderate Income Housing Benefit: 500 Persons Assisted
11	AA-3 Middlesex 10 Year Plan to End Homelessness	2015	2019	Homeless	City of Middletown-Community-wide	Access for All		Homelessness Prevention: 110 Persons Assisted Housing for Homeless added: 50 Household Housing Unit
12	AA-4 Accessible Public Facilities	2015	2019	Non-Housing Community Development	City of Middletown-Community-wide	Access for All		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 7080 Persons Assisted
13	AA-5 Reducing Hunger Grants	2015	2019	Non-Housing Community Development	City of Middletown-Community-wide	Access for All		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 625 Persons Assisted Public service activities other than Low/Moderate Income Housing Benefit: 2251 Persons Assisted

Table 55 – Goals Summary

Goal Descriptions

1	<p>Goal Name</p> <p>J-1 Remington Rand Small Business Commercial Space</p>	<p>Goal Description</p> <p>Creation of several, small business commercial spaces at the Remington Rand facility. The City owns a former manufacturing building at 180 Johnson Street. Acquired through tax foreclosure, this 184,000 square foot facility is located in Middletown's North End and is an active brownfield remediation project. This goal seeks to design and rehab a 10,000 square foot section that will then support space for newly created small businesses owned by low and moderate income residents or expanding small business that commit to providing jobs to low and moderate income residents. Leases would last 3 to 5 years and lease terms would provide a period of free rent then gradually increasing to market rate.</p>
2	<p>Goal Name</p> <p>J-2 Multi Year Grants for Career and Job Services</p>	<p>Goal Description</p> <p>This strategy provides services to meet the needs of unemployed and underemployed residents through a comprehensive program to help prepare clients for work, find employment and stay employed. Services included and not limited to; helping clients create effective resumes, preparing for job interviews, job coaching, employment retention training and on the job monitoring</p>
3	<p>Goal Name</p> <p>J-3 Small Business Creation and Expansion Grants</p>	<p>Goal Description</p> <p>Small businesses start-ups or small, operating for less than 12 months, would be eligible for up to \$2,000 in grants. The majority of the grant, up to \$1,500, can be used for program eligible expenses, such as business license fees rent/lease payment; telephone/utility hook-up charges; and inventory purchases.</p> <p>Small businesses operating for more than 12 months are eligible for a grant of up to \$1,500 to assist in the costs of new hires.</p> <p>For both start-up and expanding businesses, there is also an optional \$500 "carrot" (grant) that is offered as an incentive to participate in approved business development training programs.</p> <p>Service providers would be approved for the entire 5 year strategic plan.</p>

4	Goal Name	LN-1 Targeted Investment for Downtown Housing
	Goal Description	In order to correct structural market problems that have caused a weak housing market in the Downtown and surrounding neighborhoods, this goal seeks to fund programs that would target investment to improve select historic properties to become viable mixed income affordable housing.
5	Goal Name	LN-2 Rapid Response Blight Revolving Fund
	Goal Description	<p>Eligible funding for equipment rental, materials and supplies required for the correction of an emergency situation.</p> <p>Eligible activities include repairs to playgrounds, repairs to parks, clearance of streets, and improvements to private properties.</p> <p>Repayment of funds expended are required to be repaid to the program either from through a general fund request or through the annual budget process. In the case of private property, either a voluntary repayment plan negotiated with the property owner or recapture funds by placing a lien the property.</p>
6	Goal Name	LN-3 Rental Housing Re-Inspection License Program
	Goal Description	<p>The City of Middletown has an aggressive multi-department enforcement process which is dependent on complaints to alert the City of code violations. This objective seeks to create a proactive enforcement structure and set a standard for rental apartments requiring routine compliance.</p> <p>The Rental Housing Re-Inspection Program requires all non-owner-occupied property owners intending to rent to a new tenant be inspected to verify compliance with local and state housing codes prior to re-occupancy.</p>
7	Goal Name	LN-4 Support Programs Addressing Quality of Life I
	Goal Description	
8	Goal Name	LN-5 Improve Public Amenities in Low/Mod Areas
	Goal Description	

9	Goal Name	AA-1 Micro Grants for Senior Housing
	Goal Description	<p>Conditions within the home can present enormous physical barriers and limitations creating unsafe living conditions for the elderly and the disabled population.</p> <p>This program is intended to provide either grants or loans to the elderly and the disabled when minor modifications are necessary to provide greater accessibility in homes. Grants up to \$1,000 are available and require a match based on a sliding scale. The City's ADA Coordinator assists in determining what is necessary to and correct accessibility issues.</p>
10	Goal Name	AA-2 After School Scholarship Program
	Goal Description	<p>After School programs continue to be the leading requestor of Middletown's CDBG funds.</p> <p>As this type of funding is strictly regulated by HUD, the City has revamped its' information delivery system in an effort to increase service to more organizations and residents.</p> <p>The After School scholarship program provides a grant of up to \$250 to low- and moderate-income households for qualified programs. After School scholarship applications are subject to a Request for Qualifications (RFQ) review process.</p>
11	Goal Name	AA-3 Middlesex 10 Year Plan to End Homelessness
	Goal Description	The City continues to pledge support to the Middlesex County 10-year plan to end Homelessness.
12	Goal Name	AA-4 Accessible Public Facilities
	Goal Description	The City's various public facilities, which house government and/or not-for-profit groups, are typically older buildings presenting issues of accessibility to the City's aging and disabled population. For some individuals accessibility issues can be barriers limiting their opportunity to benefit from services.

13	Goal Name Goal Description	AA-5 Reducing Hunger Grants Middletown's only soup kitchen (St. Vincent DePaul) and food pantry (Amazing Grace) continues to see an increase in the number of people who are seeking help. In 2013, the Soup Kitchen Meals Program served 83,153 meals during year or nearly 1,600 meals per week. In 2014, the Amazing Grace Food Pantry served 1,037 households each month which translate to 270,140 meals a year, or 22,512 meals per month. Approximately 435,330 food items were distributed in 2014, or 36,278 each month. These households represent an average of 1,423 adults, 550 children and 278 elderly served each month. These services offer residents the opportunity to supplement their food bill by shopping once a month, free of charge.
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Projects

AP-35 Projects – 91.220(d)

Introduction

The City of Middletown Common Council appoints residents to the Citizens' Advisory Committee (CAC) with the authority and obligation to review and submit annual Community Development Block Grant (CDBG) program project funding recommendations which have been identified to assist in the development of the Annual Plan. The CAC and the City of Middletown work collaboratively to fund projects that meet the objectives and outcomes needed for safe and affordable housing, removing barriers within the community to create access for all and the creation of jobs.

In Project Year 2015, the U.S. Department of Housing and Urban Development awarded the City of Middletown \$405,851 for CDBG projects. That same year, the CAC received a total of 19 applications requesting funds totaling \$855,060 with 16 applications funded by the Common Council.

During funding allocations, benefit to the following priorities and strategies were considered:

- **Target Investment for Healthy Neighborhoods**
- **Supporting the Needs of All Middletown Residents**
- **Economic Development Grant Program for Jobs** Examination of organizational capacity and project viability where evaluated during the funding process. Also, sub grantees were required to demonstrate how funding would be procured prior to decisions of allocation being executed.

Projects

#	Project Name
1	Amazing Grace Food Distribution Initiative
2	Gilead Community Services Inc. GENERATOR
3	Gilead Community Services Inc WINDOWS
4	Gilead Community Services Inc. ROOF
5	RUSSELL LIBRARY
6	Middletown Warming Center
7	Oddfellows Playouse Jobs for Creative Youth

#	Project Name
8	YMCA MEN'S RESIDENCE ROOM REHABILITATION
9	Middletown Worker Preparation Program
10	Community Food Initiative
11	Young's Printing/FastSigns JOBS
12	City of Middletown CDBG Program Administration
13	ACES Early Head Start
14	Hogan House
15	Allen's Abatement Small Business Creation

Table 56 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The Amazing Grace Food Pantry (AGFP) is the city's sole provider of groceries to those in need. In 2014, the pantry served 270,140 meals to 1037 households or 22,512 meals each month. Approximately 435,330 food items were distributed last year, or 36,278 each month. Households represent 1,423 adults, 550 children and 278 elderly served each month. The pantry is opened four days a week where recipients can receive the equivalent of three days of nutritious meals determined by the size of their family.

Approximately 20% of AGFP's donated goods are from within the community. Food and financial contributions from private sources as well as an ability to purchase food at .16/lb from the CT Food Bank eliminates concerns of an underserved population.

AP-38 Project Summary

Project Summary Information

Consolidated Plan

MIDDLETOWN

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1	Project Name	Amazing Grace Food Distribution Initiative
	Target Area	City of Middletown- Community-wide
	Goals Supported	
	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$7,500

<p>Description</p>	<p>St. Vincent De Paul Middletown, (SVDM) founded in 1980 by the Sisters of Mercy and the Catholic Diocese of Norwich, focuses on meeting needs and offering hope to the poor and homeless in greater Middletown, Connecticut. Over 960 individuals are served 83,000 nutritious meals each year through our community Soup Kitchen. Over 1,400 people seek basic support services and emergency funds through our Community Assistance Program. About 1,000 households each month receive three days of nutritious groceries at our Amazing Grace Food Pantry. Seventy formerly, chronically homeless individuals with disabilities and addiction live successfully in their own apartments through our Supportive Housing Program. Last year, our Amazing Grace Food Pantry served 260,860 meals to 11,437 households. This translates to average 1,000 households each month, and 33,358 food items distributed per month. The pantry is opened four days a week where recipients and receive a three-day supply of nutritious meals determined by the size of their family. Shoppers are registered once each year to comply with the federal requirements for receiving some free food from the CT Food Bank. Individuals must present ID cards for each member of the family and self-report income. Income eligibility for shopping is set at 200% of federal poverty. Shoppers meet with volunteers to see if they qualified for other entitlements or social services. Fliers with information about programs are distributed, and shoppers have an opportunity to learn about SNAP (Food Stamps), WIC, Operation Fuel, tax assistance, employment possibilities or other services/entitlements. The workers assist with applications and made referrals when appropriate. The Program Coordinator manages the food distribution, the receipt of food, and the volunteers who are essential to the entire operation. Food is picked up from the Connecticut Food Bank on Tuesdays by our van driver and a volunteer. We receive regular donations of food and money from small businesses, schools, faith communities, and families through our Families Feeding Families program. There are also other major food drives which helped to provide food for the program. Most of the funding (approximately 75%) and half of the food comes from individuals, businesses, schools, civic organizations, municipal government, faith communities, etc. within a 20-mile radius of downtown Middletown.</p>
<p>Target Date</p>	<p>8/31/2016</p>
<p>Estimate the number and type of families that will benefit from the proposed activities</p>	<p>1037 low and moderate income families are estimated to benefit from the proposed activity.</p>

	Location Description	Amazing Grace Food Pantry, 16 Stack Street, Middletown, CT 06457
	Planned Activities	Food distributed to families and individuals (1244).
2	Project Name	Gilead Community Services Inc. GENERATOR
	Target Area	City of Middletown- Community-wide
	Goals Supported	LN-4 Support Programs Addressing Quality of Life I
	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$15,400
	Description	Funding towards an emergency generator at a residential group home for persons with psychiatric disabilities.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	68 Farm Hill Road, Middletown, CT 06457
	Planned Activities	The proposed emergency generator will allow the sub-grantee to shelter in place with program residents with psychiatric disabilities.
3	Project Name	Gilead Community Services Inc WINDOWS
	Target Area	City of Middletown- Community-wide
	Goals Supported	LN-4 Support Programs Addressing Quality of Life I
	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$6,000
	Description	Funding towards the replacement of 30 windows at a residential group home for persons with psychiatric disabilities.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	68 Farm Hill Road, Middletown, CT 06457
	Planned Activities	The replacement of 30 windows at a residential group home for persons with psychiatric disabilities will provide decent living accommodations.
4	Project Name	Gilead Community Services Inc. ROOF

	Target Area	City of Middletown- Community-wide
	Goals Supported	LN-4 Support Programs Addressing Quality of Life I
	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$16,200
	Description	Funding towards the replacement of a twenty year old roof at a residential and mental health rehabilitative services group home for nine persons.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	451-453 High Street, Middletown, CT 06457
	Planned Activities	The replacement of ----- at a residential group home for persons with psychiatric disabilities will provide decent living accomodations.
5	Project Name	RUSSELL LIBRARY
	Target Area	City of Middletown- Community-wide
	Goals Supported	J-2 Multi Year Grants for Career and Job Services
	Needs Addressed	JOBS, JOBS, JOBS
	Funding	CDBG: \$5,000
	Description	The Russell Library's Career Program addresses a key need for the residents of Middletown regarding acquiring long term, gainful employment through job skills training.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	Russell Library, 123 Broad Street, Middletown, CT 06457
	Planned Activities	
6	Project Name	Middletown Warming Center
	Target Area	City of Middletown- Community-wide
	Goals Supported	AA-3 Middlesex 10 Year Plan to End Homelessness

	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$10,000
	Description	The Middlesex County Coalition on Housing and Homelessness operates the Middletown Warming Center during the winter season serving approximately 25 homeless people nightly that need respite from the cold. The Center operates at various Middletown downtown churches from 9PM to 7 AM from mid November through March. Funding is applied towards payment of overnight staff "monitors".
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	Based upon data from previous years there are an approximate 4 low/moderate income families frequenting the Warming Center.
	Location Description	Locations vary from several Middletown downtown area churches.
	Planned Activities	The Middlesex County Coalition on Housing and Homelessness operates the Middletown Warming Center during the winter season serving approximately 25 homeless people nightly that need respite from the cold. The Center operates at various Middletown downtown churches from 9PM to 7 AM from mid November through March. Funding is applied towards payment of overnight staff "monitors".
7	Project Name	Oddfellows Playouse Jobs for Creative Youth
	Target Area	City of Middletown- Community-wide
	Goals Supported	J-2 Multi Year Grants for Career and Job Services
	Needs Addressed	JOBS, JOBS, JOBS
	Funding	CDBG: \$5,500
	Description	Job readiness and part-time employment for 12 low/moderate income youth ages 16-20.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	Twelve low/moderate income families.
	Location Description	128 Washington Street, Middletown, CT 06457.
	Planned Activities	Job readiness and part-time employment for 12 low/moderate income youth ages 16-20.

8	Project Name	YMCA MEN'S RESIDENCE ROOM REHABILITATION
	Target Area	City of Middletown- Community-wide
	Goals Supported	LN-4 Support Programs Addressing Quality of Life I
	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$7,500
	Description	Upgrade and rehabilitation of 62 men's residence rooms.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	YMCA, 99 Union Street, Middletown, CT 06457
	Planned Activities	Upgrade and rehabilitation of 62 men's residence rooms.
9	Project Name	Middletown Worker Preparation Program
	Target Area	City of Middletown- Community-wide
	Goals Supported	J-2 Multi Year Grants for Career and Job Services
	Needs Addressed	JOBS, JOBS, JOBS
	Funding	CDBG: \$18,377
	Description	Assist unemployed and displaced workers find employment.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	50 Low and moderate income families are estimated to benefit from the activity.
	Location Description	Chamber of Commerce, 393 Main Street, Middletown, CT 06457
	Planned Activities	Assist un-employed and displaced workers find employment.
10	Project Name	Community Food Initiative
	Target Area	City of Middletown- Community-wide
	Goals Supported	AA-5 Reducing Hunger Grants
	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$9,500

	Description	The North End Action Team (NEAT) offers two programs based on increasing access to healthy, affordable food.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	1058 low and moderate income individuals are estimated to benefit from the proposed activity.
	Location Description	North End Action Team, 654 Main Street, Middletown, CT 06457
	Planned Activities	The North End Action Team (NEAT) offers two programs based on increasing access to healthy, affordable food.
11	Project Name	Young's Printing/FastSigns JOBS
	Target Area	Downtown Middletown- 5416
	Goals Supported	J-3 Small Business Creation and Expansion Grants
	Needs Addressed	JOBS, JOBS, JOBS
	Funding	CDBG: \$5,000
	Description	Proposed addition of one (1) full time employee.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	One Low/mod income family.
	Location Description	Young's printing, 182 Court Street, Middletown, CT 06457
	Planned Activities	Proposed addition of one (1) full time employee.
12	Project Name	City of Middletown CDBG Program Administration
	Target Area	City of Middletown- Community-wide

	Goals Supported	J-1 Remington Rand Small Business Commercial Space J-2 Multi Year Grants for Career and Job Services J-3 Small Business Creation and Expansion Grants LN-1 Targeted Investment for Downtown Housing LN-2 Rapid Response Blight Revolving Fund LN-3 Rental Housing Re-Inspection License Program LN-4 Support Programs Addressing Quality of Life I LN-5 Improve Public Amenties in Low/Mod Areas AA-1 Micro Grants for Senior Housing AA-2 After School Scholarship Program AA-3 Middlesex 10 Year Plan to End Homelessness AA-4 Accessible Public Facilities AA-5 Reducing Hunger Grants
	Needs Addressed	JOBS, JOBS, JOBS Livable Neighborhoods Access for All
	Funding	CDBG: \$75,000
	Description	Funding meets service expenses from PCD staff and Finance Department staff administering CDBG funding activities.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	City of Middletown, Department of Planning, Conservation and Development, 245 Dekoven Drive, Middletown, CT 06457
	Planned Activities	Funding meets service expenses from PCD staff and Finance Department staff administering CDBG funding activities.
13	Project Name	ACES Early Head Start
	Target Area	City of Middletown- Community-wide
	Goals Supported	LN-4 Support Programs Addressing Quality of Life I LN-5 Improve Public Amenties in Low/Mod Areas
	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$50,000
	Description	Proposed playground and surface material at the Early Head Start Center with two (2) separate play structures for two (2) different age groups.

	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	985 Individual children.
	Location Description	Faith Lutheran, 300 Washington Street, Middletown, CT 06457
	Planned Activities	Proposed playground and surface material at the Early Head Start Center with two (2) separate play structures for two (2) different age groups. The proposed playground will accomodate an additional 45 children enrolled in teh Early Head Start Program.
14	Project Name	Hogan House
	Target Area	Downtown Middletown- 5416
	Goals Supported	LN-1 Targeted Investment for Downtown Housing LN-4 Support Programs Addressing Quality of Life I
	Needs Addressed	Livable Neighborhoods
	Funding	CDBG: \$104,000
	Description	Removal of exterior paint and application of new paint to a historic building serving 28 low/moderate income tenants in 24 units.
	Target Date	8/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	Twenty eight tenants (no families) comprised of 22 men and 6 women.
	Location Description	Hogan House, 15 Pleasant Street, Middletown, CT 06457
	Planned Activities	Removal of exterior paint and application of new paint to a historic building serving 28 low/moderate income tenants in 24 units.
15	Project Name	Allen's Abatement Small Business Creation
	Target Area	North End Industrial Redevelopment Area
	Goals Supported	J-1 Remington Rand Small Business Commercial Space
	Needs Addressed	JOBS, JOBS, JOBS
	Funding	CDBG: \$70,874
	Description	Renovations to a building involving lead paint and asbestos removal by a licensed training provider that shall provide training and employment skills to students.

Target Date	8/31/2016
Estimate the number and type of families that will benefit from the proposed activities	N/A
Location Description	Allen's Abatement, 180 Johnson Street, Middletown, CT 06457
Planned Activities	Renovations to a building involving lead paint and asbestos removal by a licensed training provider that shall provide training and employment skills to students.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The largest percentage (48%) of funding assistance will be distributed City or community wide to agencies/entities. A smaller amount (29%) is concentrated between housing, job creation and food initiatives with the DOWntown Area. Lastly, The North End Industrial Redevelopment Area is designated to receive 22% for building redevelopment/job creation.

Geographic Distribution

Target Area	Percentage of Funds
North End Redevelopment Area	
Downtown Middletown- 5416	29
Middletown Enterprise Zone	
South End- 5417	
Miller Bridge Redevelopment Area	
North End Industrial Redevelopment Area	22
North End Neighborhood Housing Redevelopment- 5411	
City of Middletown- Community-wide	48

Table 57 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Selections for investment allocation was based upon the merits of applications submitted as well as the anticipated benefits to housing, jobs, ending homelessness and hunger.

Discussion

A strong argument could be made for the allocation of funding to other geographic locations, however current funding selections are chosen for an anticipated significant benefit to a significant number of targeted individuals in need of specialized services. The numerous opportunities which will be made available to individuals will prove to be a quality of life enhancement for individuals and families seeking employment, housing, food, after school care and mental health services.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

For purposes of this discussion “Affordable Housing” is understood to be a household expense of not more than 30% of its income. Affordable housing can be rental or homeownership and can vary significantly depending on household income and the local area median income (AMI).

Affordable Housing needs can be linked to income levels, geography (urban v. rural v. suburban), age (elderly, households w/ school-age children, households w/ adults in the workforce) and need for support or special services. It is also affected by the economy and depends on the availability of affordable housing within the area and whether existing affordable housing strengthens or weakens a community. Lastly, affordable housing brings people and creates economic growth (temporary and/or permanent jobs, tax revenues, etc. as well as an increases in professional and retail services.

One Year Goals for the Number of Households to be Supported	
Homeless	2
Non-Homeless	2
Special-Needs	2
Total	6

Table 58 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	6
Rehab of Existing Units	6
Acquisition of Existing Units	1
Total	13

Table 59 - One Year Goals for Affordable Housing by Support Type

Discussion

There is a significant need for affordable housing in CT specifically meeting the needs of the target population and the surrounding community. It is critical for job acquisition and job retention that Affordable Housing be accessible (walkable or close to transit) to employment opportunities, support services, schools and free or inexpensive public recreational offerings.

AP-60 Public Housing – 91.220(h)

Introduction

The Middletown Housing Authority (MHA) is the local Public Housing Authority that manages various federal, state and Section 8 funded programs, projects and vouchers. The major developments of the MHA consist of Sbona Tower, Maplewood Terrace and Traverse Square, totaling 234 units. All three of the existing public housing developments in the City receive HUD Comprehensive Grant Program funding which cover all scheduled capital improvements. While none of the developments receive Public Housing Agency Plan funds, the Middletown Housing Authority makes use of federal operating subsidies, Section 8 Administrative funding, Drug Elimination Grant funds and an Economic Development Grant.

There are 485 public housing units and 1300 Section 8 units which are all in excellent physical condition. The Middletown Housing Authority routinely performs remodeling updates and improvements to the interior and exterior of buildings. All buildings are in excellent condition. The Middletown Housing Authority strives to provide affordable, clean and decent housing to its clients. Roofs, site work, sidewalks, and heating system improvements are in progress and will continue in the near future as capital items. As a result, all units present well on turnover and all capital improvements contain high design features and first class materials. The MHA housing inventory includes 247 Federally-funded units, 16 units of sensory impaired housing, 124 family housing units (Maplewood Terrace and Traverse Square), 200 State-funded moderate income units and 40 State-funded elderly units. The annual operational budget of the MHA is approximately \$9 million

Actions planned during the next year to address the needs to public housing

Public forum sessions will provide opportunities for Housing Authority administrators to explain programs and for residents to request information and express concerns.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Public forum sessions will provide opportunities for residents to become involved in management and learn about home ownership.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

N/A

Discussion

Public Housing offered by the Middletown Housing Authority (MHA) contributes dramatically to a much needed inventory of affordable and decent housing. The MHA currently owns vacant, developable land which could potentially become viable locations for high density affordable housing.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Addressing the emergency shelter and transitional housing needs of homeless persons

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Discussion

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

Home rehabilitation involving costly code upgrades, lead and asbestos abatement are a financial deterrent confronting many existing and would be property owners. Federal guidelines and procedures can be both vexing and discouraging to potential property investors. Additionally, neighbor related issues surfacing at Town Planning & Zoning meetings amounting to nothing more than a “Not in my backyard” mentality in opposition to affordable housing is a negative effect.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

<u>Barriers to Affordable Housing</u>	<u>Strategy to Remove Barriers</u>
---------------------------------------	------------------------------------

- | | |
|---|---|
| • NIMBY; | Public education on Affordable Housing by municipal and housing authority leaders |
| • development regulations and additional affordable units | Create less restrictive regulations which would allow for additional affordable units |
| • ADA, home modification accessibility | Increase accessibility of existing units |
| • taxes and fees; | Encourage lawmakers to re-structure taxes and municipal leaders to moderate fees |

Discussion:

Current Affordable Housing supply and demand levels are out of balance thus signaling the need for more housing units, priced affordability for renters and home buyers. The Middletown median income is under \$60K, while many rents of available housing can soar past \$1,000 monthly. This, coupled with the unfortunate and ever increasing disparity of dipping income levels, a steady loss of manufacturing, science and technology jobs and property/personal and sales tax increases and increases in home heating costs makes it increasingly more difficult for incomes to keep pace with housing costs.

AP-85 Other Actions – 91.220(k)

Introduction:

This section addresses what actions the City of Middletown intends to take in the upcoming year during which time it will address obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce lead-based paint hazards, reduce the number of poverty-level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies.

Actions planned to address obstacles to meeting underserved needs

Any encountered obstacles to meeting underserved needs shall be:

1. Periodically measured for effectiveness of plans, goals and strategies implemented
2. Thoroughly analyzed for solutions by staff, the Citizens' Advisory Committee, the Mayor and the Common Council
3. If necessary, the local HUD office of Community Planning and Development will be contacted for guidance

Actions planned to foster and maintain affordable housing

The City of Middletown shall

1. Periodically measure effectiveness of plans, goals and strategies implemented in an effort to foster, maintain and increase affordable housing
2. Continue to direct Federal funds as eligible to foster and maintain affordable housing
3. Remove negative effects of public policies that serve as barriers to affordable housing, through public awareness, education and pursuant to § 91.210(e)

Actions planned to reduce lead-based paint hazards

The City of Middletown shall:

1. Periodically measure effectiveness of plans, goals and strategies implemented to reduce lead-based paint hazards
2. Request information from the Middletown Health Department regarding reports of lead-based paint hazard testing
3. Continue to provide funding assistance to landlords for lead-based paint abatement

Actions planned to reduce the number of poverty-level families

The City of Middletown shall reduce the number of poverty-level families by:

1. Periodically measuring the effectiveness of plans, goals and strategies implemented, and, as set forth in the housing component of the consolidated plan
2. Continuing to provide community development services to assist poverty-level families
3. Continuing to work collaboratively with not for profit and private agencies
4. Continue to direct Federal funds as eligible to poverty-level families

Actions planned to develop institutional structure

1. Contributing to the institutional structure are members from private industry; nonprofit organizations; community and faith-based organizations; philanthropic organizations; the Continuum of Care; public institutions; departments and agencies through which The City of Middletown will work collaboratively towards goals and objectives addressing housing, homeless, and a community development plan.
2. This approach shall be periodically measured for effectiveness. Should problematic issues or gaps in this system become apparent, The City of Middletown will, with the assistance of staff, the Citizens' Advisory Committee, the Mayor and the Common Council, identify appropriate

measures and if necessary, request assistance from the local HUD office of Community Planning and Development

Actions planned to enhance coordination between public and private housing and social service agencies

The City of Middletown shall maximize the effectiveness of the partnerships by improved planning and dialog sessions with the Continuum of Care, public and assisted housing providers, and private and governmental health, mental health, and service agencies involved in assisting the City in Community Development matters and projects.

The City of Middletown shall increase the effectiveness of housing assistance and services through improved and frequent planning and dialog sessions with its collaborative partners when developing plans designed to care for homeless persons including chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth as well as recently homeless persons now living in permanent housing.

Discussion:

Review and analysis of data, facts and community needs indicate a more comprehensive evaluation and monitoring process may be helpful in the City of Middletown's efforts to identifying obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce lead-based paint hazards, reduce the number of poverty-level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

The City of Middletown anticipates all funds will be available for planned activities during the program year. Funding includes CDBG funds (\$405,851) and program income funds (\$10,000). An estimated 100% of CDBG funds are designated to be used for activities that will benefit low and moderate income persons.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	10,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	10,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

To ensure program success, the City of Middletown will periodically examine outcomes of the process as well as for effectiveness of plans, goals and strategies implemented.

Attachments

Grantee SF-424's and Certification(s)



SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the ICPMP.xls document of the CPMP tool.



Complete the fillable fields (thin cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

Applicant Identifier		Type of Submission	
00/07/2015		Application	Pre-application
Date Received by state	State Identifier	<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
Date Received by HUD	Federal Identifier	<input type="checkbox"/> Non Construction	<input type="checkbox"/> Non Construction
Applicant Information			
City of Middletown		CT00030 MIDDLETOWN	
245 DeKoven Drive, Room 202		025249051	
0		Municipality	
Middletown	Connecticut	Planning, Conservation and Development	
06457	Country U.S.A.	Community Development	
Employer Identification Number (EIN):		Middlesex	
06-0001872		Program Year Start Date (09/01)	
Applicant Type:		Specify Other Type if necessary:	
Local Government: City		0	
		U.S. Department of Housing and Urban Development	
Program Funding			
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding			
Community Development Block Grant		14.218 Entitlement Grant	
2015-2018/PY41 CDBG Program		City of Middletown- Citywide	
Federal Funds- \$405,851	Federal Leveraged Funds- \$0	Reprogrammed CDBG entitlement and program income	
State Leveraged Fund- \$0	\$0		
Locally Leveraged Funds- \$685,245.00	\$0		
Program Income- \$10,000	Other (Describe) \$0- Reprogrammed CDBG		
Total Resources- \$1,091,096.00			
Home Investment Partnerships Program		14.239 HOME	
HOME Project Titles		Description of Areas Affected by HOME Project(s)	
\$HOME Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged	\$Additional State Funds Leveraged		
\$Locally Leveraged Funds	\$Grantee Funds Leveraged		

\$Anticipated Program Income		Other (Describe)
Total Funds Leveraged for HOME-based Project(s)		
Housing Opportunities for People with AIDS		14.241 HOPWA
HOPWA Project Titles		Description of Areas Affected by HOPWA Project(s)
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe
\$Additional Federal Funds Leveraged	\$Additional State Funds Leveraged	
\$Locally Leveraged Funds	\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)
Total Funds Leveraged for HOPWA-based Project(s)		
Emergency Shelter Grants Program		14.231 ESG
ESG Project Titles		Description of Areas Affected by ESG Project(s)
\$ESG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe
\$Additional Federal Funds Leveraged	\$Additional State Funds Leveraged	
\$Locally Leveraged Funds	\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)
Total Funds Leveraged for ESG-based Project(s)		
Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?
Rep Rosa DeLauro	Rep. Rosa DeLauro	<input type="checkbox"/> Yes This application was made available to the state EO 12372 process for review on DATE. <input checked="" type="checkbox"/> No Program is not covered by EO 12372. <input type="checkbox"/> N/A Program has not been selected by the state for review.
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	

Person to be contacted regarding this application		
Daniel Mayor Michiel.Wackers@MiddletownCT.gov http://www.middletownplanning.com	J. 860-638-4940	Draw 860-638-4940
Signature of Authorized Representative 		Date Signed 8/13/15



CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

This certification does not apply.
 This certification is applicable.

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(j) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about --
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will --
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted --
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

City of Middletown

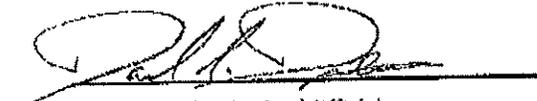
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

- 8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements); and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with COBG, HOME, FSG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official

8/13/15]
Date

[Daniel T. Drew]
Name

[Mayor]
Title

[245 DeKoven Drive]
Address

[Middletown, CT 06457]
City/State/Zip

[860-638-4801]
Telephone Number

This certification does not apply.
 This certification is applicable.

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.10b.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority** - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit** - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2012, 2013, 2014, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments** - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations, and
15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

City of Middletown

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.


Signature/Authorized Official


Date

Daniel T. Drew
Name
Mayor
Title
245 DeKoven Drive
Address
Middletown, CT 06457
City/State/Zip
860-638-4801
Telephone Number

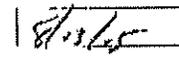
City of Middletown

This certification does not apply.
 This certification is applicable.

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.


Signature/Authorized Official
Date

Daniel T. Drew

Name

Mayor

Title

245 DeKoven Drive

Address

Middletown, CT 06457

City/State/Zip

860-638-4801

Telephone Number

This certification does not apply.
 This certification is applicable.

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code). Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip
City of Middletown City Hall	245 DeKoven Drive	Middletown	Middletown	CT	06457

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from those rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

City of Middletown

controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- a. All "direct charge" employees;
- b. All "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- c. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subcontractors or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

- 1. Analysis of Impediments to Fair Housing
- 2. Citizen Participation Plan
- 3. Anti-displacement and Relocation Plan



Signature/Authorized Official

8/12/15

Date

Daniel T. Drew
Name

Mayor
Title

245 DeKoven Drive
Address

Middletown, CT 06457
City/State/Zip

860-638-4801
Telephone Number

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-Displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 101(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

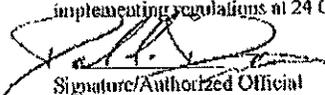
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLJ, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official

Date 8/12/15

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2015, 2016 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.


Signature/Authorized Official

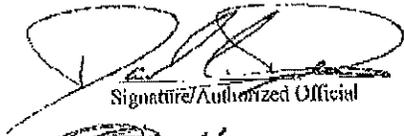
8/12/15
Date

Mayor
Title

OPTIONAL CERTIFICATION
CDBG

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(e):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature/Authorized Official 8/13/18
Date



Title

Specific HOME Certifications

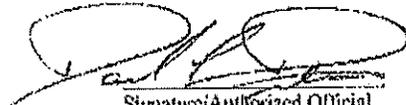
The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.


Signature/Authorized Official 8/2/18
Date

M. J. ...
Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

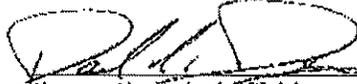
Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.


Signature/Authorized Official

8/13/15
Date

Meyer
Title

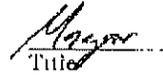
APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.


Signature/Authorized Official 8/13/15
Date


Title

Appendix - Alternate/Local Data Sources